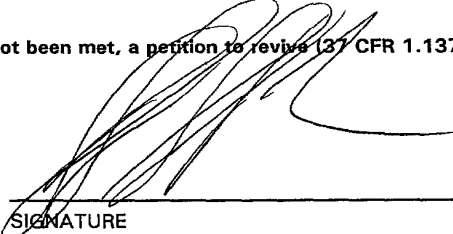


FORM-PTO-1390 (Rev. 10-96)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				004900-172	
				U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 09/485533	
INTERNATIONAL APPLICATION NO. PCT/FR98/01800		INTERNATIONAL FILING DATE 12 August 1998		PRIORITY DATE CLAIMED 12 August 1997 29 May 1998	
TITLE OF INVENTION METHOD FOR PREPARING (POLY)ISOCYANATE COMPOSITION WITH REDUCED VISCOSITY					
APPLICANT(S) FOR DO/EO/US Eugenie CHARRIERE; Jean-Marie BERNARD; Denis REVELANT; Stephane RANDU					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and the PCT Articles 22 and 39(1). 4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) 6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11. to 16. below concern other document(s) or information included: 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 14. <input type="checkbox"/> A substitute specification. 15. <input type="checkbox"/> A change of power of attorney and/or address letter. 16. <input type="checkbox"/> Other items or information:					

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.50) 09/485533		INTERNATIONAL APPLICATION NO. PCT/FR98/01800		ATTORNEY'S DOCKET NUMBER 004900-172	
17. <input checked="" type="checkbox"/> The following fees are submitted:				CALCULATIONS	PTO USE ONLY
Basic National Fee (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EPO or JPO \$840.00 (970) International preliminary examination fee paid to USPTO (37 CFR 1.482) \$670.00 (956) No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$690.00 (958) Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$970.00 (960) International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$96.00 (962) <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>				\$ 840.00	
Surcharge of \$130.00 (154) for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)). 20 <input type="checkbox"/> 30 <input type="checkbox"/>				\$	
Claims	Number Filed	Number Extra	Rate		
Total Claims	38 -20 =	18	X\$18.00 (966)	\$ 324.00	
Independent Claims	14 -3 =	11	X\$78.00 (964)	\$ 858.00	
Multiple dependent claim(s) (if applicable)			+ \$260.00 (968)	\$	
TOTAL OF ABOVE CALCULATIONS =				\$ 2022.00	
Reduction for 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				\$	
SUBTOTAL =				\$ 2022.00	
Processing fee of \$130.00 (156) for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492(f)). 20 <input type="checkbox"/> 30 <input type="checkbox"/> +				\$	
TOTAL NATIONAL FEE =				\$ 2022.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 (581) per property +				\$	
TOTAL FEES ENCLOSED =				\$ 2022.00	
				Amount to be:	
				refunded	\$
				charged	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>2022.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. <u>02-4800</u> in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>02-4800</u> . A duplicate copy of this sheet is enclosed.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO:					
Norman H. Stepno BURNS, DOANE, SWECKER & MATHIS, L.L.P. P.O. Box 1404 Alexandria, Virginia 22313-1404					
 SIGNATURE					
Teresa Stanek Rea NAME					
<u>30,427</u> REGISTRATION NUMBER					

09/485533

Patent
Attorney's Docket No. 004900-172
416 Rec'd PCT/PTO 14 FEB 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)
Eugenie CHARRIERE et al) Group Art Unit: Unassigned
Application No.: Unassigned) Examiner: Unassigned
(Corresponds to PCT/FR98/01800)
International Filing Date: 12 August 1998
For: METHOD FOR PREPARING
(POLY)ISOCYANATE COMPOSITION
WITH REDUCED VISCOSITY

PRELIMINARY AMENDMENT

BOX PCT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Prior to examination, please amend the above-captioned application as follows:

IN THE CLAIMS:

Kindly cancel claims 1-38 and insert the following in lieu thereof:

- 39. A process for the preparation of a low-viscosity (poly)isocyanate composition comprising at least one isocyanate dimer containing a uretidinedione unit, from starting isocyanate monomers in which the isocyanate groups are borne by sp^3 carbon atoms, comprising the step of heating a starting reaction medium comprising said isocyanates monomeres, in the absence of a dimerization catalyst, to a temperature of at least 50°C and of not more than 200°C for a period of not more than 24 hours.
40. A process according to Claim 39, wherein said starting reaction medium is heated to a temperature of at least 80°C, and of not more than 170°C.

41. A process according to Claim 39, wherein said starting reaction medium is heated to a temperature of at least 120°C.
42. A process according to Claim 39, wherein said reaction medium is heated along a decreasing temperature gradient.
43. A process according to Claim 39, wherein the heating time is at least 5 minutes, and not more than 24 hours.
44. A process according to Claim 39, wherein the heating time is at least 30 minutes, and not more than 5 hours.
45. A process according to Claim 39, wherein at the end of the dimerization reaction, the starting monomer is removed.
46. A process according to Claim 39, wherein at the end of the dimerization reaction, the starting monomer is removed by distillation.
47. A process according to Claim 39 for the continuous preparation of a composition containing at least one isocyanate dimer containing a uretidinedione unit, wherein after the dimerization reaction, the unreacted monomers are removed and are recycled into the dimerization step.
47. A process for the preparation of a low-viscosity polyfunctional isocyanate composition containing at least one isocyanate trimer containing an isocyanurate and/or biuret unit and at least one isocyanate dimer containing a uretidinedione unit, from starting isocyanate monomers, in which the isocyanate groups are borne by sp^3 carbon atoms, and optionally from other monomers, this process comprising the following steps:

i) heating the starting reaction medium, in the absence of dimerization catalyst, to a temperature of at least 80°C, and of not more than 200°C, for a period of less than 24 hours;

ii) reacting the reaction product from step i) containing unreacted monomers with a (cyclo)condensation catalyst, under (cyclo)trimerization conditions;

iii) removing the unreacted starting monomers from the reaction product from step ii);

iv) isolating the low-viscosity polyfunctional isocyanate composition comprising at least one isocyanate trimer and at least one isocyanate dimer.

49. A process for the preparation of a low-viscosity polyfunctional isocyanate composition containing at least one isocyanate trimer containing an isocyanurate and/or biuret unit and at least one isocyanate dimer containing a uretidinedione unit, from starting isocyanate monomers, in which the isocyanate groups are borne by sp^3 carbon atoms, and optionally from other monomers, this process comprising the following steps:

i) heating the starting reaction medium, in the absence of dimerization catalyst, to a temperature of at least 120°C, and of not more than 170°C, for a period of less than 5 hours;

ii) reacting the reaction product from step i) containing unreacted monomers with a (cyclo)condensation catalyst, under (cyclo)trimerization conditions;

iii) removing the unreacted starting monomers from the reaction product from step ii);

iv) isolating the low-viscosity polyfunctional isocyanate composition comprising at least one isocyanate trimer and at least one isocyanate dimer.

50. A process for the preparation of a low-viscosity polyfunctional isocyanate composition containing at least one isocyanate trimer containing an isocyanurate and/or biuret unit and at least one isocyanate dimer containing a uretidinedione unit, from starting isocyanate monomers in which the isocyanate groups are borne by sp^3 carbon atoms, and optionally from other monomers, this process comprising the following steps:

i) reacting the starting monomers with a (cyclo)trimerization or (cyclo)condensation catalyst under (cyclo)trimerization or (cyclo)condensation conditions;

ii) heating the reaction product from step i) containing unreacted isocyanate monomers, in the absence of dimerization catalyst, to a temperature of at least 80°C , and of not more than 200°C , for a period of less than 24 hours;

iii) removing the unreacted starting monomers from the reaction product from step ii);

iv) isolating the low-viscosity polyfunctional isocyanate composition comprising at least one isocyanate trimer and at least one isocyanate dimer.

51. A process for the preparation of a low-viscosity polyfunctional isocyanate composition containing at least one isocyanate trimer containing an isocyanurate and/or biuret unit and at least one isocyanate dimer containing a uretidinedione unit, from starting isocyanate monomers in which the isocyanate groups are borne by sp^3 carbon atoms, and optionally from other monomers, this process comprising the following steps:

- i) reacting the starting monomers with a (cyclo)trimerization or (cyclo)condensation catalyst under (cyclo)trimerization or (cyclo)condensation conditions;
- ii) heating the reaction product from step i) containing unreacted isocyanate monomers, in the absence of dimerization catalyst, to a temperature of at least 120°C, and of not more than 170°C, for a period of less than 5 hours;
- iii) removing the unreacted starting monomers from the reaction product from step ii);
- iv) isolating the low-viscosity polyfunctional isocyanate composition comprising at least one isocyanate trimer and at least one isocyanate dimer.

52. A process for the preparation of a low-viscosity polyfunctional isocyanate composition comprising at least one isocyanate dimer containing a uretidinedione unit and at least one other compound having a function derived from the isocyanate function, from starting isocyanate monomers in which the isocyanate groups are borne by sp^3 carbon atoms and another compound comprising at least one function other than isocyanate, which is reactive with the isocyanate function, this process comprising the following steps:

- i) heating the starting reaction medium, in the absence of dimerization catalyst, to a temperature of greater than at least 80°C, and less than at least 200°C, for a period of less than 24 hours;
- ii) reacting together the reaction product from step i) containing unreacted isocyanate monomers and a compound comprising at least one function other than the isocyanate function, which is reactive with the isocyanate function, optionally in the presence of a catalyst;

iii) removing from the reaction product from step ii) the isocyanate monomers and, where appropriate, the compound comprising at least one function other than the isocyanate function, which is reactive with the isocyanate function;

iv) isolating the polyfunctional isocyanate composition of low-viscosity comprising at least one isocyanate dimer containing a uretidinedione unit and at least one other function derived from the isocyanate function.

53. A process for the preparation of a low-viscosity polyisocyanate composition comprising at least one isocyanate dimer containing a uretidinedione unit and at least one other compound containing a function derived from the isocyanate function, starting with isocyanate monomers in which the isocyanate groups are borne by sp^3 carbon atoms and with another compound comprising at least one function other than isocyanate, which is reactive with the isocyanate function, this process comprising the following steps:

(i) reacting an isocyanate monomer with a compound comprising at least one function other than an isocyanate function, which is reactive with the isocyanate function, optionally in the presence of a catalyst;

ii) heating the reaction mixture from step i) containing unreacted isocyanate monomers, in the absence of dimerization catalyst, to a temperature of greater than at least 80°C , and not more than 200°C , for a period of less than 24 hours ;

iii) removing from the reaction product from step ii) the monomers and, where appropriate, the compound comprising at least one function other than the isocyanate function, which is reactive with the isocyanate function;

iv) isolating the low-viscosity polyisocyanate composition comprising at least one polyisocyanate trimer and at least one polyisocyanate dimer.

54. A process for the preparation of a low-viscosity polyfunctional isocyanate composition comprising at least one isocyanate dimer containing a uretidinedione unit and at least one other compound having a function derived from the isocyanate function, from starting isocyanate monomers in which the isocyanate groups are borne by sp^3 carbon atoms and another compound comprising at least one function other than isocyanate, which is reactive with the isocyanate function, this process comprising the following steps:

i) reacting an isocyanate monomer with a compound comprising at least one function other than an isocyanate function, which is reactive with the isocyanate function, optionally in the presence of a catalyst;

ii) heating the reaction mixture from step i) containing unreacted isocyanate monomers, in the absence of dimerization catalyst, to a temperature of greater than at least 120°C , and not more than 170°C , for a period of less than 5 hours ;

iii) removing from the reaction product from step ii) the monomers and, where appropriate, the compound comprising at least one function other than the isocyanate function, which is reactive with the isocyanate function;

iv) isolating the low-viscosity polyisocyanate composition comprising at least one polyisocyanate trimer and at least one polyisocyanate dimer.

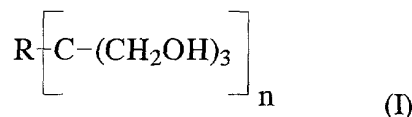
55. A process according to Claim 48, wherein said isocyanate dimer is obtained by heating the reaction medium along a decreasing temperature gradient.

56. A process according to Claim 52, wherein said function derived from the isocyanate function is a carbamate, allophanate, urea, biuret and/or blocked isocyanate function.

57. A process according to Claim 52, for the preparation of a low-viscosity polyfunctional isocyanate composition comprising at least one uretidinedione isocyanate dimer, and at least one compound having a biuret function, comprising the reaction, in step ii), of isocyanate monomers with water.

58. A process according to Claim 53 for the preparation of a low-viscosity polyfunctional isocyanate composition comprising at least one uretidinedione isocyanate dimer, and at least one compound having a biuret function, comprising the reaction, in step i), of isocyanate monomers with water.

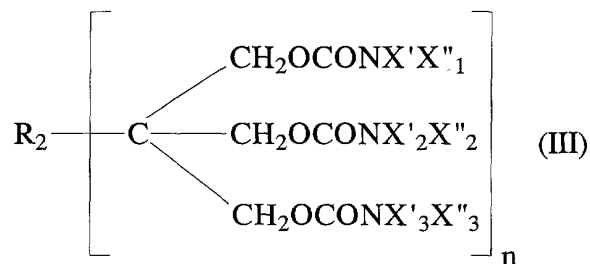
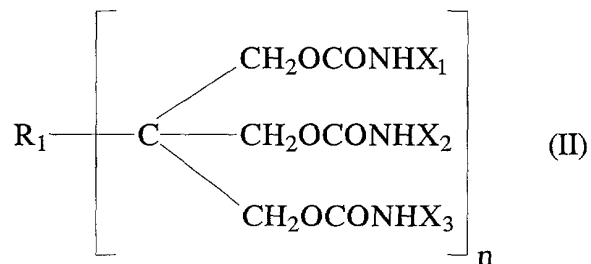
59. A process for the preparation of a low-viscosity (poly)isocyanate composition comprising at least one isocyanate dimer containing a uretidinedione unit, from starting isocyanate monomers in which the isocyanate groups are borne by sp^3 carbon atoms, comprising the step of heating a starting reaction medium comprising said isocyanates monomeres, in the absence of a dimerization catalyst, to a temperature of at least 50°C and of not more than 200°C for a period of not more than 24 hours, comprising adding to the reaction medium containing the starting monomers a compound of general formula I:



in which

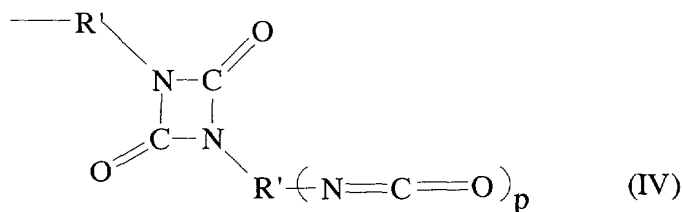
R is a mono- or n-valent hydrocarbon-based group having from 1 to 30 carbon atoms, in which the hydrocarbon-based chain can be interrupted by one or more chalcogen atoms and can bear 1 to 3 OH groups, and n is an integer ranging from 1 to 3, and/or products derived from this derivative by a reaction with a compound bearing an aliphatic isocyanate function.

60. A process for the preparation of a low-viscosity (poly)isocyanate composition comprising at least one isocyanate dimer containing a uretidinedione unit, from starting isocyanate monomers in which the isocyanate groups are borne by sp^3 carbon atoms, comprising the step of heating a starting reaction medium comprising said isocyanates monomeres, in the absence of a dimerization catalyst, to a temperature of at least 50°C and of not more than 200°C for a period of not more than 24 hours comprising adding to the reaction medium containing the starting monomers a compound of general formula II and/or III below:



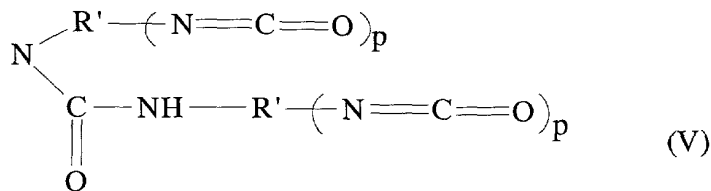
in which

one or more of X_1 , X_2 and X_3 represents a group $R'-(N=C=O)_p$ in which R' is a p-valent aliphatic group and p is an integer ranging from 0 to 5, the others representing, where appropriate, a group of formula

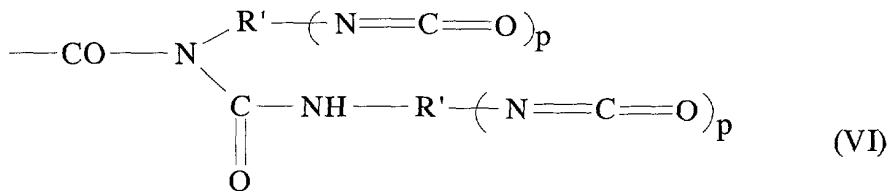


R' and p being as defined above,

R_1 is R, with the OH groups substituted, where appropriate, with a group $CONX_1H$, X_1 being as defined above, at least one of $NX'_1X''_1$, $NX'_2X''_2$ and $NX'_3X''_3$ represents the group



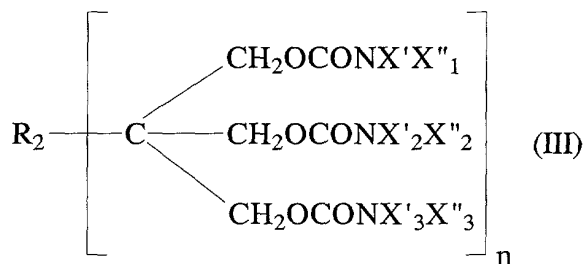
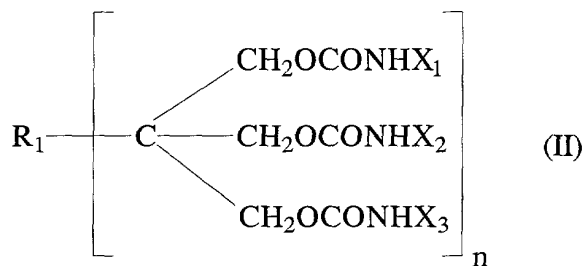
R' and p being as defined above, the others representing a group NX_1H or NX_1 -silyl with X_1 as defined above and R_2 being R, with the OH groups substituted, where appropriate, with a group $CONX_1H$, or



R' and p being as defined above, and n is an integer ranging from 1 to 3.

61. A process according to Claim 59, wherein R is a C₁-C₄ alkyl group substituted with 1 to 3 OH groups.

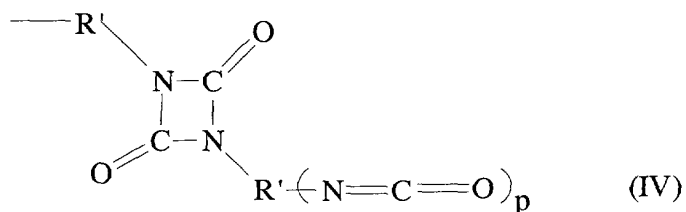
62. A process according to Claim 59, wherein said compound of general formula I is selected from pentaerythritol and trimethylolpropane, and the compounds of general formulae II and III are selected, where appropriate, from the corresponding pentaerythritol and trimethylolpropane derivatives of general formula II and/or III below:



in which

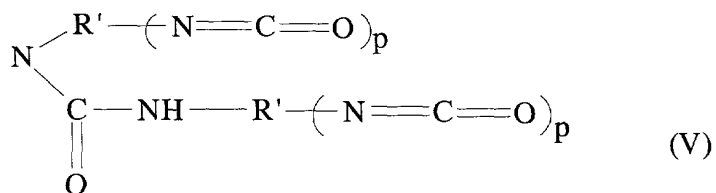
one or more of X₁, X₂ and X₃ represents a group R' - (N=C=O)_p in which R' is a p-valent aliphatic group and p is an integer ranging from 0 to 5,

the others representing, where appropriate, a group of formula

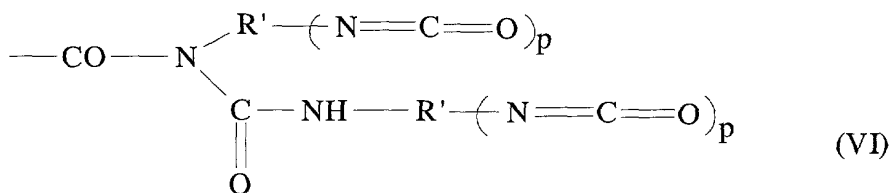


R' and p being as defined above,

R₁ is R, with the OH groups substituted, where appropriate, with a group CONX₁H, X₁ being as defined above, at least one of NX'₁X''₁, NX'₂X''₂ and NX'₃X''₃ represents the group



R' and p being as defined above, the others representing a group NX₁H or NX₁-silyl with X₁ as defined above and R₂ being R, with the OH groups substituted, where appropriate, with a group CONX₁H, or



R' and p being as defined above,

and n is an integer ranging from 1 to 3,

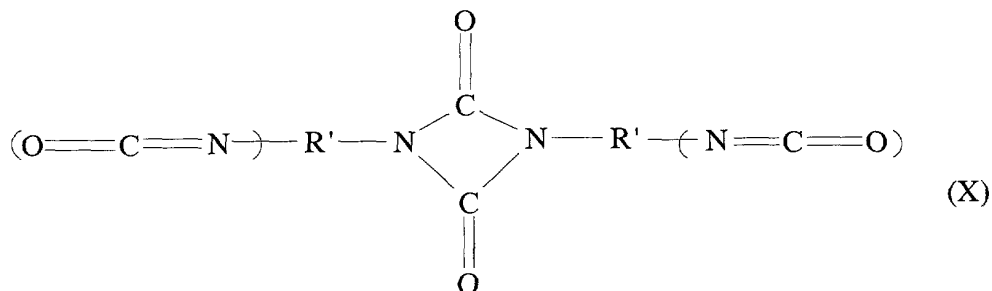
wherein R₁ and/or R₂ represents a group selected from CH₂OH and CH₃CH₂.

63. A process according to Claim 39, wherein said starting isocyanate monomers are diisocyanates selected from the group consisting of hexamethylene diisocyanate, tetramethylene diisocyanate, norbornane dimethylene diisocyanate, isophorone diisocyanate, bis(isocyanato)cyclohexylmethane and 2-methylpentamethylene diisocyanate.

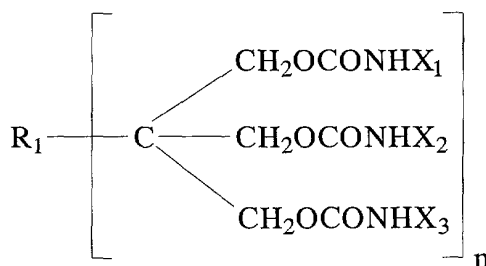
64. A low-viscosity polyfunctional isocyanate composition comprising at least one uretidinedione isocyanate dimer and at least one compound having a biuret function, wherein said biuret unit containing compound represents at least 10% by weight.

65. A low-viscosity polyfunctional isocyanate composition comprising at least one uretidinedione isocyanate dimer and at least one compound having a biuret function, wherein said biuret unit containing compound represents at least 20% by weight.

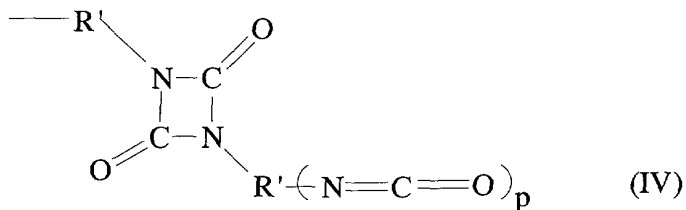
66. A composition comprising at least one compound of general formula X:



in which R' is a p-valent aliphatic group and p is an integer ranging from 0 to 5, and at least one compound of general formula II:



in which one or more of X_1 , X_2 and X_3 represents a group $-R'-N=C=O$ as defined above
and the others represent, where appropriate, a group



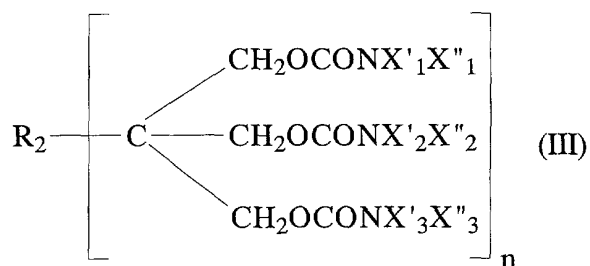
R' and p being as defined above,

and R_1 is R , with the OH groups substituted, where appropriate, with a group CONX_1H

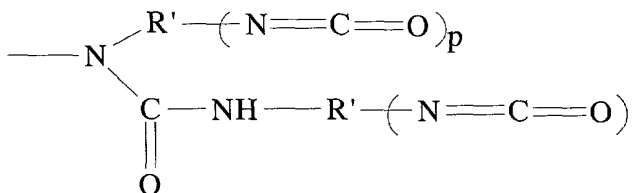
wherein X_1 represents $R'(-N=C=O)_p$ group in which R' is as defined above,

and n is an integer from 1 to 3;

and/or at least one compound of general formula III:

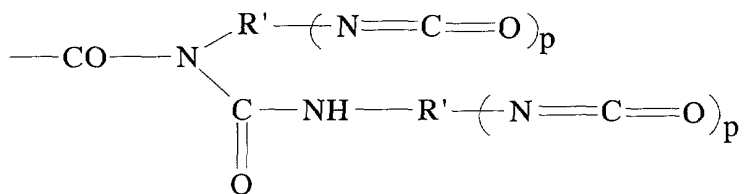


in which at least one of $NX'_1X''_1$, $NX'_2X''_2$ and $NX'_3X''_3$ represents the group



R' and p being as defined above, the others representing a group NX_1H with X_1 as defined above, and

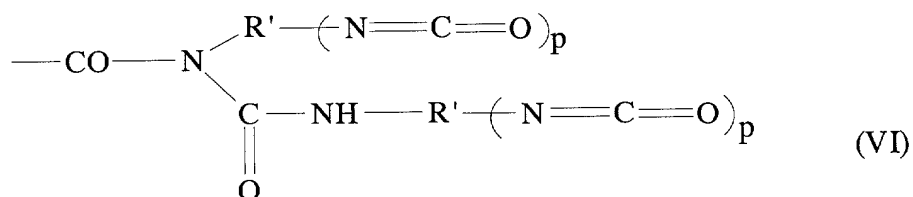
R_2 being R , with the OH groups substituted with a group $CONX_1H$ or



as defined above,

and n is an integer ranging from 1 to 3,

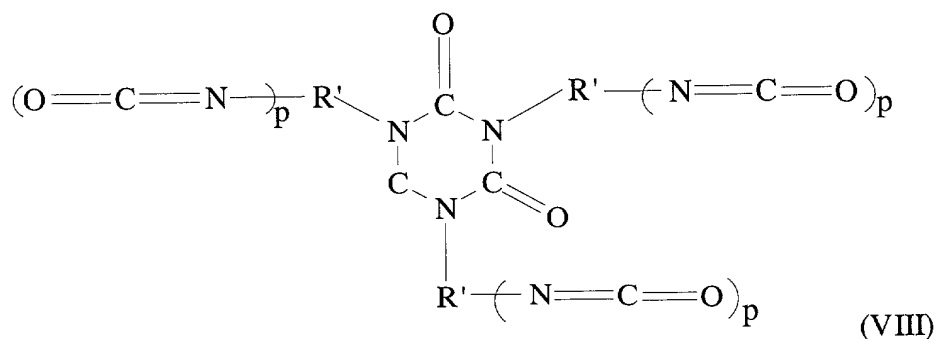
and/or a biuret compound obtained from an isocyanate of general formula VI



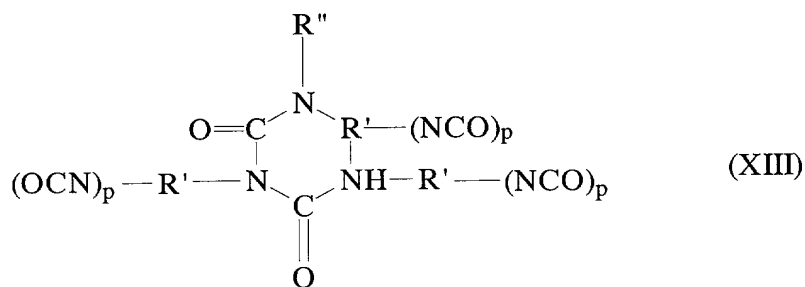
wherein R' and p are as defined above,

said composition further being free of dimerization catalyst of phosphine, aminopyridine, phosphoramidate, organometallic or tertiary amine type.

67. A composition according to Claim 66, further comprising a compound of general formula VIII:



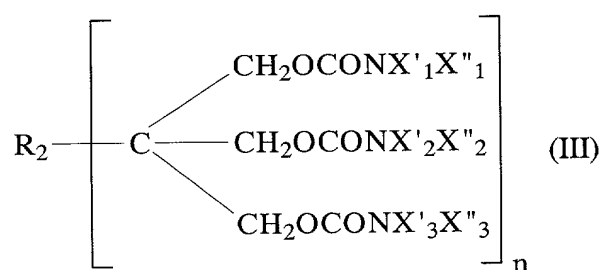
and/or a compound of general formula XIII:



wherein R" represents H or a hydrocarbon group.

68. A composition according to Claim 67 comprising at least one compound of general formula X and/or optionally one compound of general formula VIII and/or at least one compound of general formula XIII, said composition being free of dimerization catalysts.

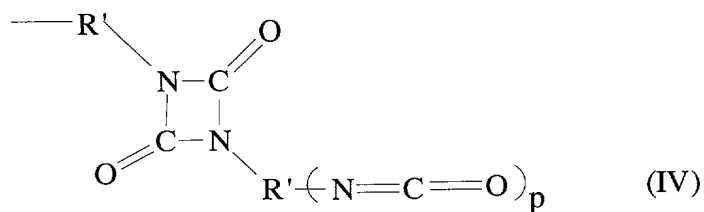
69. A compound of general formula III



in which

one or more of X₁, X₂ and X₃ represents a group R'-(N=C=O)_p in which R' is a p-valent aliphatic group and p is an integer ranging from 0 to 5,

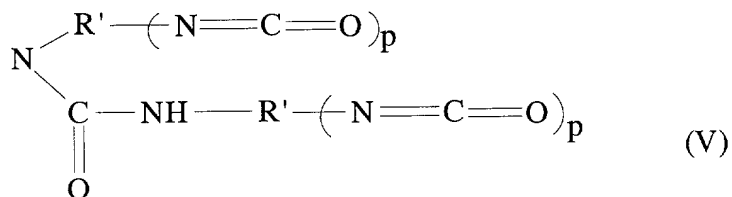
the others representing, where appropriate, a group of formula



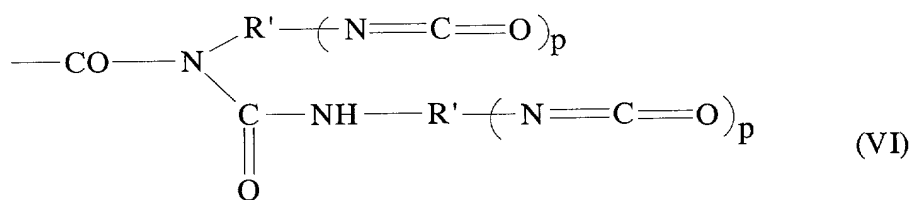
R' and p being as defined above,

R₁ is R, with the OH groups substituted, where appropriate, with a group CONX₁H, X₁ being as defined above,

at least one of NX'₁X''₁, NX'₂X''₂ and NX'₃X''₃ represents the group



R' and p being as defined above, the others representing a group NX₁H or NX₁-silyl with X₁ as defined above and R₂ being R, with the OH groups substituted, where appropriate, with a group CONX₁H, or



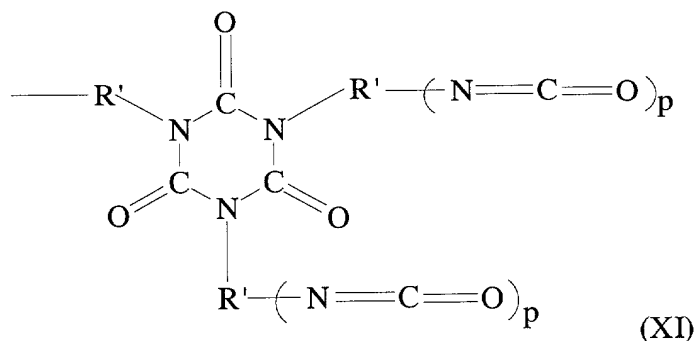
R' and p being as defined above, and

n is an integer ranging from 1 to 3,

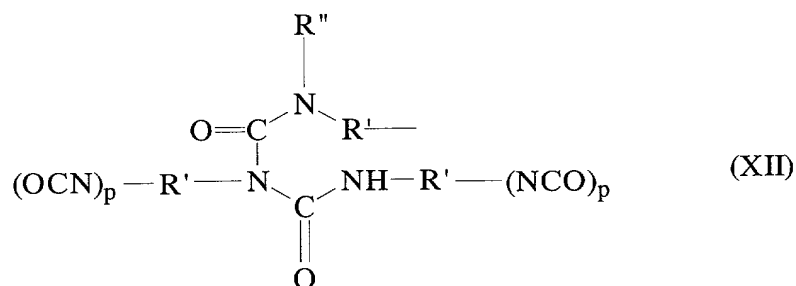
in which at least one of the groups NX'₁X''₁, NX'₂X''₂ and NX'₃X''₃ represents the group of formula V as defined above, the others representing a group NX₁H with X₁, X'₁X''₁, X'₂X''₂ and X'₃X''₃ as defined above and R¹ as defined above.

70. A compound according to Claim 69 in which :

- the groups NX'₁X''₁, NX'₂X''₂ and NX'₃X''₃ are selected from a group of general formula NX₁H, a group of general formula V, a uretidinedione group of formula IV, an isocyanurate group of formula XI:



and, a biuret group of formula XII:



R'' represents H or a hydrocarbon-based group,

R₂ represents the group R with the OH groups substituted, where appropriate, with a group selected from CONHX₁, a group of formula VI, a group of formula VI, a group of formula -CO-NH- (group of formula IV), -CO-NH- (group of formula XI) and -CO-NH- (group of formula XII), with the proviso that the compounds containing at least one carbamate group of formula NX₁H, or CONHX₁H respectively, and/or allophanate group of formula V, or -CO-NH- (group of formula V) respectively, and at least one group selected from a uretidinedione group of general formula IV, or -CO-NH- (group of general formula IV), respectively, an isocyanurate group of general formula XI, or -CO-NH- (group of general

formula XI) respectively, and a biuret group of general formula XII, or -CO-NH- (group of general formula XII) respectively.

71. A compound according to Claim 69, in which p is equal to 1 and containing 1, 2, 3 or 4 allophanate groups.

72. A compound according to Claim 69, wherein R' is a group selected from a group (CH₂)_n with n ranging from 2 to 8, optionally substituted with a hydrocarbon-based chain optionally bearing an isocyanate function, a norbornylmethylene group, a cyclohexylmethylene group or a 3,3,5-trimethylcyclohexyl methylene group.

73. A composition for simultaneous or successive application, comprising:

- at least one polyisocyanate composition according to Claim 65; and
- a polyol.

74. A composition for simultaneous or successive application, comprising:

- at least one polyisocyanate composition according to Claim 65; and
- a polyol of acrylate type which satisfies the following conditions for a dry extract (DE) of 75-80% by weight:

- Mw (weight-average molecular weight) not greater than 10,000, advantageously not greater than 5000;

- Mn (number-average molecular weight) of not greater than 5000;

- Mw/Mn (dispersity ratio) of not greater than 5;

- number of OHs/molecule of greater than or equal to 2.

75. A composition for simultaneous or successive application, comprising:

- at least one polyisocyanate composition according to Claim 65; and

- a polyol of polyester type having 100% dry extract and a viscosity of not greater than 10,000 mPa.s, and an Mw of between 250 and 8000.

76. A composition according to Claim 73, containing a crosslinking catalyst, which is optionally a latent catalyst.--

REMARKS

Entry of the foregoing amendment(s) is respectfully requested.

The claims have been amended to eliminate multiple dependency and to place them in better condition for U.S. patent practice.

Should the Examiner have any questions concerning the subject application, a telephone call to the undersigned would be appreciated.

Respectfully submitted,

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416 Rec'd PCT/PTO 14 FEB 2000

Method for the preparation of low-viscosity
(poly)isocyanates

5 The present invention relates to the preparation of low-viscosity polyfunctional isocyanates from starting monomers.

These products are most particularly of interest to the paints and coatings industry and are particularly advantageous in ecological terms.

10 It is known to use polyfunctional isocyanates for the preparation of crosslinked polymers, in particular polyurethanes, by polymerization and/or polyaddition with compounds containing reactive hydrogen, in particular polyols.

15 For this, it is generally preferred to use polyfunctional isocyanate compositions comprising a large amount of tricondensate polyfunctional isocyanates (TPIs), i.e. isocyanates obtained by (cyclo)trimerization of three starting monomer molecules, namely isocyanates, in particular diisocyanates and optionally other
20 compounds that are reactive with an isocyanate, such as an amine, in the presence of water.

Among the TPIs, mentioned may be made in particular of the (poly)isocyanate isocyanurates obtained by cyclotrimerization of three isocyanate molecules,
25 advantageously diisocyanates, or (poly)isocyanates containing biuret units, obtained by a biuretization process such as trimerization with three isocyanate molecules, in the presence of water and a catalyst as described in FR 2,603,278.

30 In order to obtain isocyanate compositions comprising large proportions of TPI, the reaction to polymerize the starting monomers, which generally takes place in the presence of a suitable catalyst, is generally stopped when a degree of conversion of 20 to
35 40% of the starting monomers is obtained, in order to prevent an excessive amount of oligomers with more than three starting monomer units being formed in the reaction medium.

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At the end of the polycondensation, the reaction medium thus obtained generally contains a major amount of monomers and a minor amount of oligomers, the oligomer fraction consisting mainly of trimers and, in smaller amounts, tetramers, pentamers and heavy compounds, as well as a small amount of dimers.

At the end of the reaction, the reaction mixture is distilled in order to eliminate the monomers, which are volatile organic compounds and some of which are toxic.

A major drawback of the compositions obtained in this manner lies, however, in the fact that the composition obtained after the distillation step has a relatively high viscosity, which is essentially incompatible with the subsequent use of these compositions for the manufacture of coatings.

Thus, in the absence of solvent, a composition obtained by cyclotrimerization of hexamethylene diisocyanate (HDI) generally has, after distillation of the monomer fraction, a viscosity ranging from 1200 to 2400 mPa.s for a degree of conversion of the starting monomers ranging from 20 to 40%.

This problem is even more acute for biurets, which, for the same monomer, have, after distillation, a viscosity of about 9000 mPa.s.

One of the solutions recommended to lower the viscosity of these compositions is to add organic solvents.

However, environmentalist pressure and the regulations have forced paint manufacturers to reduce the amounts of volatile solvents in paints.

It has thus been sought to introduce so-called reactive solvents which become incorporated into the network of the coating film.

Thus, low-viscosity isocyanate oligomers have been used as reactive diluents. Mentioned may be made, in this respect, of the diisocyanate dimers also known as uretidinediones (uretdiones). One specific example consists of the dimer containing a uretidinedione ring,

obtained by catalysed dimerization of hexamethylene diisocyanate (HDI) which is used as a reactive diluent for polyisocyanates, as described in the presentation by Wojcik R.P., Goldstein S.L., Malofsky A.G., Barnowfki, H.G., Chandallia K.R. at the 20th congress "Proceedings Water borne, Higher Solids and Power Coatings Symposium", 1993 (pp. 26-48) or the presentations by U. Wustmann, P. Ardaud and E. Perroud at the 4th congress in Nuremberg "Creative Advances Coatings Technology", April 1997, presentation No. 44.

In order to obtain the low-viscosity poly-functional isocyanate compositions, the dimer is generally added to the isocyanate composition obtained from the polymerization, in particular from the (cyclo)trimerization of the starting monomers, which necessitates the use of two preparation processes, one specific for the preparation of the dimer compounds, the other specific for the preparation of the TPis, thereby entailing two distillation processes for the resulting reaction mixtures in order to remove the starting monomers.

Processes for preparing dimers are known in the prior art, and reference will be made in particular to the article by W. Schapp in "Methoden der Organischen Chemie", Houben-Weyl, 1903, pp. 1102-1111 for the details of the various modes of preparation.

Until now, use has been made of catalysts to carry out the synthesis of isocyanate dimers, in particular of aliphatic isocyanates.

The catalysts generally used for this purpose are phosphine derivatives and aminopyridine derivatives, optionally supported on inorganic compounds such as alumina or silica, described in US 5,461,020, organo-metallic derivatives or alternatively derivatives of tertiary amine type, in particular polydialkoylamino-pyridines as described in US 5,315,004 and WO 93/19049.

It is also known to use mixtures of various catalysts.

One drawback in the use of dimerization catalysts

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is that they give the dimer produced a coloration which is detrimental to the subsequent use of the poly-functional isocyanate compositions, in particular in paints.

5 In order to combat this coloration, decolorizing agents such as peroxides are used.

 Another major drawback of the known processes for the synthesis of the dimer lies in the impossibility of obtaining a quantitative degree of conversion of the
10 starting monomers since, as the dimerization reaction is unselective, the starting isocyanate monomers have a tendency to homopolymerize and to give products of higher molecular weight, thereby resulting in an increase in viscosity.

15 It is thus common to limit the degree of conversion of the starting isocyanate so as not to exceed a degree of 50%, or even 35%, in order to avoid the formation of viscous or even solid compounds which would no longer be able to act as TPI diluents.

20 An additional problem in the preparation of the isocyanate dimers also relates to their separation from the monomers, since, at the end of the dimerization reaction, the excess isocyanate monomers need to be removed. This operation is carried out by volatilization
25 or distillation under vacuum. The dimer thus obtained is a relatively non-viscous compound, of 100 mPa.s at 25°C.

 However, the dimer thus obtained is not stable over time, even at room temperature. Indeed, the dimer produced redissociates into monomers until an equilibrium
30 is formed between the dimer form and the monomer form. This effect is moreover promoted by the temperature. This problem is particularly pronounced when the dimer is pure.

 Now, the polyurethane paints industry requires
35 polyisocyanates with very low contents of isocyanate monomers, of less than 0.5% by weight.

 It would consequently be necessary to distil the dimer at regular intervals in order to remove the excess monomers, but this incurs additional costs and a fall in

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production efficiency.

The aim of the invention is consequently to provide a process for the preparation of low-viscosity isocyanate dimers which are stable over time and have
5 neither any harmful coloration (Hazen number of less than 100, advantageously less than 50) nor any traces of catalyst.

In particular, the dimers will contain less than 1%, preferably less than 1000 ppm, more preferably less
10 than 100 ppm, by mass of catalytic phosphorus (directly or after destruction of the catalyst) of phosphinic nature or in its inactivated form (phosphine oxide, phosphonium salts, etc.).

However, the composition containing the dimer can
15 comprise surfactant-type phosphorus compounds which have no catalytic activity with respect to the dimerization, especially phosphates, phosphinates and phosphonates, in particular those described in EP 0,815,153.

The dimers will also contain less than 5 meq,
20 advantageously less than 1 meq and more preferably less than 0.5 meq, of aminopyridine or alkylaminopyridine functions per 100 g of oligomers derived from the starting isocyanate.

Advantageously, the compositions according to the
25 invention do not comprise any measurable (by the usual measuring means) amounts of catalyst.

The inventors' studies have now made it possible to establish a process which makes it possible to overcome the drawbacks of the prior art and to obtain
30 stable dimer compositions with no coloration.

The subject of the invention is thus a process for the preparation of a composition comprising at least one isocyanate dimer containing a uretidinedione unit, from starting isocyanate monomers, characterized in that
35 the starting reaction medium is heated, in the absence of dimerization catalyst, to a temperature of at least 50°C and not exceeding 200°C for a period not exceeding 24 hours, until a dimer content of at least 1%, preferably 2% by weight relative to the starting monomers is

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obtained.

The expression "absence of dimerization catalyst" is understood to mean in particular that the reaction is carried out in the absence of compounds of phosphine, aminopyridine, phosphoramidate (in particular hexamethyl phosphoramidate), organometallic or tertiary amine type.

Advantageously, the heating temperature is at least 80°C, preferably at least 120°C, and not more than 170°C.

The heating temperature depends on the nature of the starting isocyanate monomers.

When the starting monomers are of aliphatic nature, the heating temperature is in the upper region of the range defined above, whereas, when the starting monomers are of aromatic nature, the heating temperature can be brought down to the lower region of this range.

Thus, in order to obtain aliphatic isocyanate dimers, the reaction medium will be heated to a temperature above 100°C, advantageously above 120°C, preferably above 130°C and more preferably above 140°C, for periods of less than 12 hours, advantageously less than 8 hours and advantageously more than 30 minutes.

In general, the starting isocyanate monomers which it is desired to dimerize consist of any type of isocyanate, either aliphatic, cyclic or aromatic, containing one or more isocyanate groups. However, diisocyanates and triisocyanates are preferred. Among these, those of aliphatic nature are particularly preferred.

The term aliphatic isocyanates is understood to refer to any compound in which the open bonds (those linking the carbon atoms to an isocyanate function or derivative, in particular carbamate, biuret or allophanate) are borne by a carbon of sp^3 configuration, including arylaliphatic or acyclic isocyanates, and/or any compound which can contain one or more heterocycles. They preferably comprise less than 30 carbon atoms.

Mention may be made in particular of isocyanates comprising a (poly)methylene chain containing from 1 to

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30, advantageously from 2 to 12 and preferably from 4 to 8 -CH₂- units.

These are in particular tetramethylene, hexamethylene or octamethylene groups.

5 Mention may also be made of isocyanates containing a group consisting of a branched homologue of the above groups, in particular the 2-methylpentylene group.

Mention may also be made of isocyanates containing a cycloalkyl or arylene group.

10 The preferred isocyanate monomers are in particular the following:

- 1,6-hexamethylene diisocyanate,
- 1,12-dodecane diisocyanate,
- cyclobutane 1,3-diisocyanate,
- 15 - cyclohexane 1,3- and/or 1,4-diisocyanate,
- 1-isocyanato-3,3,5-trimethyl-5-diisocyanato-methylcyclohexane (isophorone diisocyanate, IPD),
- 2,4- and/or 2,6-hexahydrotoluylene diisocyanate,
- 20 - hexahydro-1,3- and/or -1,4-phenylene diisocyanate,
- perhydro-2,4'- and/or -4,4'-diphenylmethane diisocyanate,
- 1,3- and/or 1,4-phenylene diisocyanate,
- 25 - 2,4- and/or 2,6-toluylene diisocyanate,
- diphenylmethane 2,4'- and/or 4,4'-diisocyanate,
- triphenylmethane 4,4',4''-triisocyanate,
- 1,3-bis(isocyanato)methylcyclohexane,
- norbornane diisocyanate (NBDI).

30 The heating time is advantageously not more than 5 hours, and at least 5 minutes, preferably at least 30 minutes.

The reaction can be carried out in the absence or presence of a solvent. It is generally preferred to carry
35 it out in the absence of solvent.

After removing the monomers by distillation, more or less pure dimer is obtained, which is in particular free of compounds containing an isocyanurate unit.

The process of the invention can be optimized by

heating the reaction mixture along a decreasing temperature gradient, in order to shift the dimer/monomer(s) equilibrium in the direction of formation of the dimer.

5 In accordance with the process of the invention, it is also possible to prepare isocyanate dimers continuously, by removing the unreacted starting monomers and recycling them into the dimerization step. Pure, colourless dimeric products free of additive or catalyst
10 can thus be obtained, which can then be:

- added to a pure polyfunctional isocyanate composition (i.e. one containing no starting monomers) or to a paint or coating composition, in particular one of polyurethane type, containing at least one diisocyanate
15 or one polyisocyanate and a compound comprising a function which is reactive with the isocyanate function(s) of the isocyanate, in particular an alcohol or a polyol, a primary, secondary or even tertiary amine, or any other compound of this type which is known to
20 those skilled in the art;

- added to a crude polyfunctional isocyanate composition obtained by polycondensation of starting monomers and containing unreacted monomers, in order to form a mixture on which is carried out a polycondensation, polymerization, oligomerization, carbamatation or allophanatation reaction, or a crosslinking reaction with
25 a compound containing a function which is reactive with the isocyanate function in order to obtain a composition containing isocyanate dimer and compounds with
30 functionality of greater than 2, of different nature from the starting composition, followed by a step of removal of the monomers.

In all the cases featured, the monomer(s) forming part of the structure of the dimer(s) can be identical to
35 or different from the monomer(s) used to prepare the polyfunctional isocyanate composition.

The dimers according to the invention can be obtained from a single monomer or a mixture of different monomers. The dimer can also be prepared from one or more

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in which:

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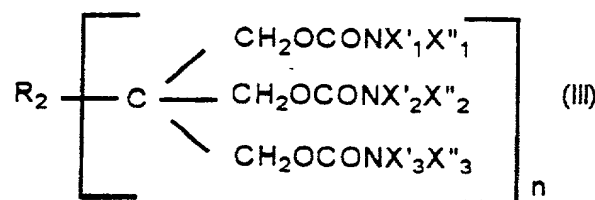
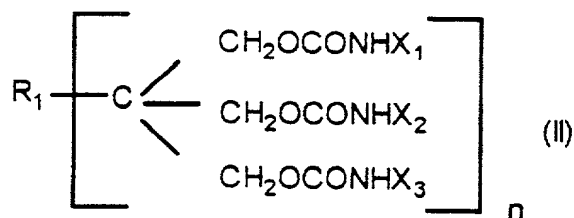
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substituted with an OH group or an ethyl or hydroxymethyl group,

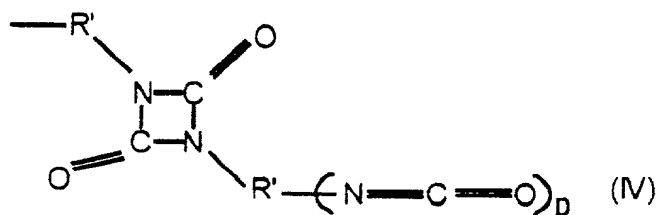
n is an integer ranging from 1 to 3,

and/or products derived from the compound of general formula I by reaction with a compound bearing an isocyanate function, which is preferably aliphatic.

The products derived from the compound of general formula I defined above can in particular correspond to formulae II and/or III below:



in which one or more of X_1 , X_2 and X_3 represents groups $R'-(N=C=O)_p$ in which R' , which may be identical or different, are p-valent aliphatic groups, i.e. groups whose open bonds (those linking the carbon atoms to an isocyanate function or derivative, in particular carbamate, biuret or allophanate) are borne by a carbon of sp^3 configuration, including arylaliphatic or acyclic groups and/or groups which can contain heterocycles and/or, in particular when $p = 0$, carbamate, biuret and/or isocyanurate groups, R' preferably containing from 3 to 30 carbon atoms, and p is an integer, which varies according to the degree of polymerization of the reaction mixture, in general between 0 and 5, advantageously equal to 1 or 2, preferably equal to 1, in which case R' is a divalent group as defined above, the others representing, where appropriate, a group of formula

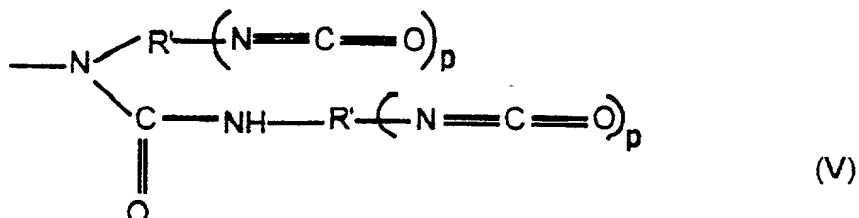


R' and p being as defined above,

R₁ being R, with the OH groups substituted, where appropriate, with a group CONX₁H, X₁ being as defined above,

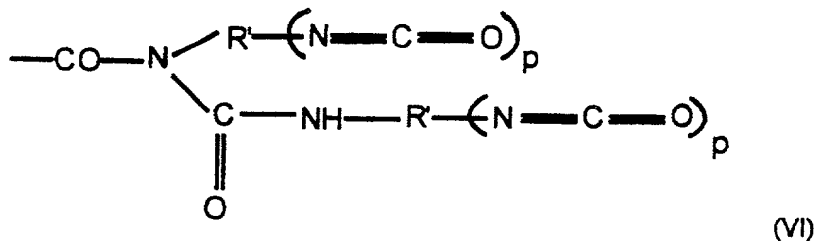
5 n is an integer ranging from 1 to 3; and

- at least one of NX'₁X''₁, NX'₂X''₂ and NX'₃X''₃, represents the group



10 R' being as defined above, the others representing a group NX₁H or NX₁-silyl with X₁ as defined above, the silyl group originating, where appropriate, from the destruction of the catalyst of silazane type, in particular as defined in EP 89297, and

R₂ being R, with the OH groups substituted, where appropriate, with a group CONX₁H, or



15 R' being as defined above, and

n is an integer ranging from 1 to 3.

R' can be of any nature since it is not involved in the polymerization reaction. In general, R' is the

hydrocarbon-based group of an isocyanate as defined above for the starting isocyanate monomers. However, for practical purposes, groups R' having from 1 to 30 carbon atoms are preferred.

5 Preferably, R' is a divalent group as defined above, with p equal to 1.

Mention may be made in particular of hexamethylene, tetramethylene, norbornylene and bis-cyclohexylenemethane divalent groups and the divalent
10 radical derived from IPDI.

When it is added to the reaction medium, the compound of general formula I allows the preparation, with high selectivity, of isocyanate dimers containing a uretidinedione unit, from isocyanate monomers, in
15 particular from diisocyanates, the degree of conversion of the isocyanate functions advantageously being at least 5%, preferably at least 10%.

The compounds of general formula I, II and/or III can also be used as prepolymers.

20 In addition, the compounds of general formula I, II and/or III, advantageously II or III, preferably III, have a function which stabilizes the isocyanate dimers.

The compounds of general formulae II and III can be and are generally obtained by reaction of the compound
25 of general formula I with an isocyanate of general formula VII:



in which R' and p are as defined above.

In particular, the group R' is the hydrocarbon-based group derived from an isocyanate, in particular a
30 di- and/or triisocyanate, preferably an aliphatic one as defined above.

The reason for this is that the inventors of the present invention have observed that when a compound of
35 general formula I as defined above is reacted with an isocyanate, a certain proportion of compounds of general formula II and/or III as defined above is generally formed, these compounds in turn promoting the dimerization reaction of the starting diisocyanates.

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The compounds of general formula I and/or II and/or III are used in an effective amount for ensuring stabilizing activity, and preferably with a ratio: isocyanate functions/hydroxyl functions, of greater than 4, preferably greater than 20.

Advantageously, pentaerythritol or trimethylolpropane is used as polyhydroxylated compound of general formula I and the corresponding carbamates and/or allophanates obtained by reacting pentaerythritol or trimethylolpropane with an isocyanate, in particular a diisocyanate and advantageously HDI, is used as compound of general formula II and/or III.

It was known practice, from US 5,115,071, to use polyhydroxylated compounds, in particular trimethylolpropane, for the preparation of prepolymers by polycondensation with aliphatic diisocyanates at a temperature of between 0 and 120°C. However, that document makes no mention of the production of dimers. In addition, it indicates most particularly that a person skilled in the art will take the necessary precautions to ensure that the products obtained are free of contaminating products such as allophanates, oligomers and other products.

In contrast, the inventors of the present invention have found that, under the conditions for using a polyhydroxylated compound of general formula I as defined above, according to the present invention, for the production of dimers formed by condensation of two starting isocyanates, a certain amount of allophanate compounds are formed.

Pentaerythritol is particularly preferred since, especially when it is in allophanate form, it makes it possible to obtain high functionalities (which can be up to 8 or more) with reduced viscosity.

When it is used for this purpose, it is desirable for its concentration in the reaction mixture to be at least 10%, advantageously at least 20%, and not more than 90%, advantageously 80%, by mass relative to the mass of the reaction mixture.

For certain applications, in particular when the reduction in viscosity must be large, it is preferred, however, not to use a compound of general formula I to III as defined below.

5 When a polyhydroxylated compound of general formula I is used, as well as carbamates of general formula II and allophanates of general formula III of the invention, it is advantageous to work at a temperature of greater than 110°C and preferably greater than 130°C, and
10 preferably not more than 180°C, and for a reaction time of between 1 hour and 1 day, the reaction temperature advantageously being chosen so as to be above the dissolution temperature, where appropriate, of the polyol of general formula I or, where appropriate, of the
15 compounds of general formulae II and III, the dissolution temperature being the temperature at which at least 1 g/l of reagent is dissolved per isocyanate composition.

 The compounds of general formula I, II or III can be added as they are into the reaction medium comprising
20 the isocyanate monomers, which may be the same or different, preferably in the absence of solvents, or they can be bound (including by absorption) to a support, in particular a resin.

 When a compound of formula I is added, the
25 compounds of general formula II and/or III can be formed spontaneously after a sufficient reaction time.

 It is also possible to add to the reaction medium a compound of general formula II and/or a compound of general formula III.

30 Another subject of the invention consists of processes for the preparation of polyfunctional isocyanate compositions using, in one of their steps, the process for the preparation of isocyanate dimers according to the invention.

35 Thus, in a first variant, the subject of the invention is a process for the preparation of a low-viscosity polyfunctional isocyanate composition containing at least one isocyanate trimer containing an isocyanurate and/or biuret unit and at least one

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isocyanate dimer containing a uretidinedione unit, from starting isocyanate monomers, and optionally from other monomers, this process comprising the following steps:

5 i) the starting reaction medium is heated, in the absence of dimerization catalyst, to a temperature of at least 50°C, advantageously of at least 80°C, preferably of at least 120°C, and of not more than 200°C, advantageously of not more than 170°C, for a period of less than 24 hours, advantageously of less than 5 hours;

10 ii) the reaction mixture from step i) containing unreacted monomers is reacted with a (cyclo)trimerization or (cyclo)condensation catalyst, under (cyclo)trimerization or (cyclo)condensation conditions;

15 iii) the unreacted starting monomers are removed from the reaction mixture from step ii);

iv) the low-viscosity polyfunctional isocyanate composition comprising at least one isocyanate trimer and at least one isocyanate dimer is isolated.

20 Advantageously, a compound of general formula I is added to step i).

According to a second variant, the subject of the invention is also a process for the preparation of a polyfunctional isocyanate composition containing at least one isocyanate trimer containing an isocyanurate and/or biuret unit and at least one isocyanate dimer containing a uretidinedione unit, from starting isocyanate monomers, and optionally from other monomers, comprising the following steps:

30 i) the starting monomers are reacted with a (cyclo)trimerization or (cyclo)condensation catalyst under (cyclo)trimerization or (cyclo)condensation conditions;

35 ii) the reaction mixture from step i) containing unreacted isocyanate monomers is heated, in the absence of dimerization catalyst, to a temperature of at least 80°C, advantageously of at least 120°C, preferably of at least 130°C, and of not more than 200°C, advantageously of not more than 170°C, for a period of less than 24 hours, advantageously less than 5 hours;

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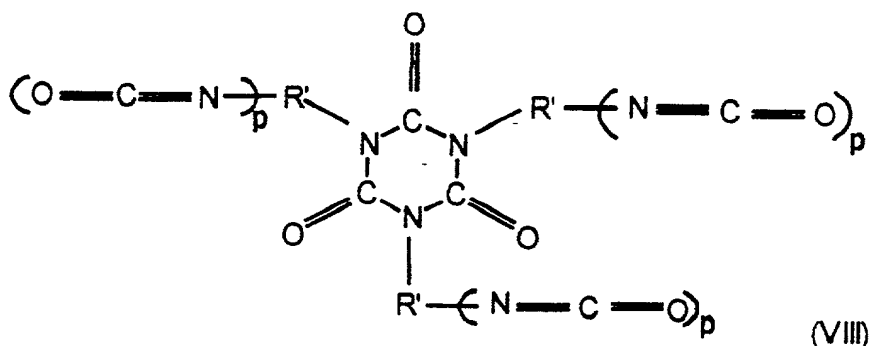
iii) the unreacted starting monomers are removed from the reaction mixture from step ii);

iv) the low-viscosity polyisocyanate composition comprising at least one isocyanate trimer and at least one isocyanate dimer is isolated.

If it is desired to add a compound of general formula I as defined above, it is preferable to add it to step ii) or i).

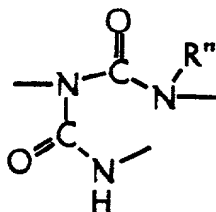
When the starting monomers are isocyanates of general formula VII as defined above, the following are obtained in particular:

- by cyclotrimerization, trimers containing an isocyanurate ring, of general formula VIII:



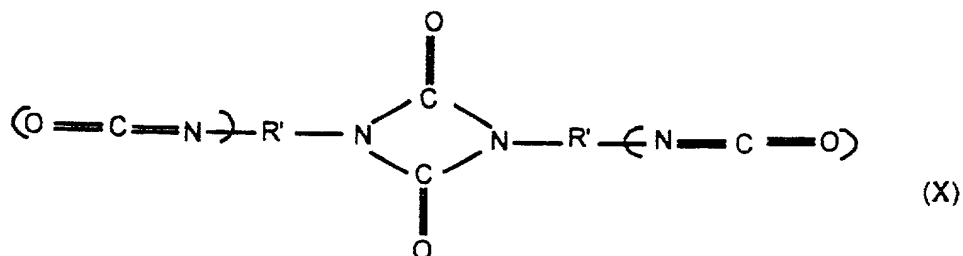
in which R' and p, which may be the same or different, are as defined above, p preferably being equal to 1,

- by biuretization, compounds containing a biuret unit, the biuret unit being represented by the general formula IX below:



with R'' representing H or a hydrocarbon-based residue

- and dimers containing a uretidinedione ring, of general formula X:



in which R' and p, which may be the same or different, are as defined above.

The trimer containing an isocyanurate unit can be synthesized in any way known to those skilled in the art, but specific mention should be made of processes which use alcohols, in particular as co-catalysts (see in particular the techniques targeted by patent application FR 2,613,363 and in the documents cited therein, in particular US patent 4,324,879). In this case, the compounds of general formula I, II and/or III can, admittedly, be used simultaneously with the trimerization catalyst. However, this approach is not very successful since there is a risk of the dimer formed being converted into a trimer by the said catalyst. Thus, it is preferred not to add the compound of general formula I, II and/or III according to the invention until after the said trimerization catalyst has been destroyed. In the case of the process described in particular in patent application EP 89297, the compounds of formula I, II or even III are, however, advantageously used to destroy the trimerization catalyst. In this case, the alcohols and/or carbamates derived therefrom can be used as agents for destroying the catalyst compound, which then silylates some of the alcohol functions of the compound of general formula I, II or even III according to the invention and/or carbamates derived therefrom.

If it is desired to prepare trimers containing an isocyanurate function, in particular from diisocyanate monomers, the trimerization reaction is stopped when the desired degree of conversion of the diisocyanate monomers, essentially into trimers, is obtained and the compound(s) of general formula I and/or II and/or III of

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the invention is(are) added to the reaction medium as defined above.

5 The inventors have also determined that when the trimerization catalyst used is a disilazane or an aminosilyl derivative, for example hexamethyldisilazane, it is destroyed by simple addition of a polyhydroxylated compound of general formula I of the invention, in particular when this compound is pentaerythritol.

10 Thus, after adding the compounds of general formula I and/or II and/or III of the invention, the reaction is carried out until the desired viscosity is obtained, corresponding to a determined dimer content, or more generally until the desired dimer content is obtained.

15 The reaction according to the present invention has a unique characteristic when compared with the other dimerization reactions, this characteristic being that it has a very low production of trimers.

20 Thus, for the preparation of mixtures of HDI dimers and trimers according to the two variants outlined above, the reaction is advantageously carried out for a period of about 1 h 30 at a temperature of about 150°C, such that the dimers/trimers ratio is from about 1/6 to 1/3.

25 At the end of the reaction, polyisocyanate dimers of general formula X, optionally trimers of general formula VIII and/or biurets are found in the reaction medium, in particular when the dimerization is carried out using a reaction medium comprising polyisocyanate trimers of general formula VIII and/or biurets, carbamates, in particular carbamates of general formula II formed between the hydroxylated compounds of general formula I of the invention and the diisocyanate monomers of general formula II and/or allophanates of general formula III, in particular allophanates formed between the said polyhydroxylated compound of general formula I and the diisocyanate monomers of general formula II.

35 The composition thus formed is also characterized in that it is free of dimerization catalyst, in

particular of the type such as phosphine, aminopyridine, phosphoramidate (in particular hexamethylphosphoramidate), organometallic and tertiary amine.

5 The composition according to the invention preferably comprises compounds of formula II in which all of the groups X_1 , X_2 and X_3 represent $R'N=C=O$ with R' being identical or different, and/or compounds in which one or two of X_1 , X_2 and X_3 represents the group of general formula IV, the others being $-R'-N=C=O$ with R' being identical or different.

10 Preferably, in the compounds of formula III, only one of the groups $NX'_1X''_1$, $NX'_2X''_2$ and $NX'_3X''_3$ represents the group of formula V, the others representing the group NX_1H , X_1 being as defined above.

15 The products obtained after removal of the starting monomers are of low viscosity.

For the purposes of the present invention, the term "low viscosity" is understood to refer to (poly)isocyanate compositions comprising a dimer containing a uretidinedione ring and having a viscosity at 25°C which is at least 20% lower, advantageously at least 30% lower, preferably at least 50% lower than the same polyisocyanate composition comprising no isocyanate dimer as mentioned above.

25 The polyfunctional isocyanate compositions of the invention are thus characterized in that they contain at least one polyisocyanate with functionality of greater than two and an isocyanate dimer containing a uretidinedione ring, having at least two isocyanate functions, the latter being obtained by a thermal reaction in the absence of specific dimerization catalysts, optionally in the presence of a compound of general formula I, II and/or III.

35 In the compositions of the invention, it is moreover observed that the amount of dimer formed is in equilibrium with the other polyisocyanate molecules of the composition. The isocyanate composition is thus stable over time and does not require regular rectification in order to remove the monomers which would be

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formed by dissociation of the dimer.

In particular, the stability is proportionately greater the more the conditions below are respected for the composition:

- 5 - total true dimer units in the isocyanate functions \leq 30%.

Advantageously, this ratio is less than 15%, preferably less than 12% (mass/mass).

- 10 It is preferably greater than 3.5%, advantageously 5%, in the case of the isocyanurates.

The true dimers are the compounds of general formula X above.

- 15 The advantage of the processes for the preparation of polyfunctional isocyanate compositions of the invention also lies in that they require only one operation to remove the starting monomers, in order to obtain a polyfunctional isocyanate composition of low viscosity.

- 20 Another advantage of the process of the invention is that it allows the degree of conversion of the monomers to be increased for relatively low viscosities.

- 25 Typically, for a degree of conversion of 53%, the viscosity of a composition comprising 37% true HDI trimers (containing only one isocyanurate ring) and 6.6% true HDI dimers (containing only one uretidinedione ring) is 4694 mPa.s at 25°C, with an average functionality of 3.7.

- 30 The process according to the invention makes it possible to obtain products of higher functionality with high degrees of conversion of the monomers while at the same time retaining low viscosities.

The degree of conversion (after trimerization and dimerization) can especially be, after distillation, between 35 and 55% and preferably between 40 and 50%.

- 35 By virtue of the invention, it is possible to add to the reaction mixture derived from the dimerization process according to the invention an alcohol, in particular a polyol, preferably of general formula I, or another compound having at least one function other than

isocyanate which is reactive with the isocyanate function, and to carry out in sequence a condensation reaction with this compound, in particular a carbamation and/or allophanatation reaction, without destroying the dimer.

Now, as mentioned above, a person skilled in the art would have expected that the uretidinedione ring would have cleaved into two isocyanate molecules capable of reacting with various reagents; the fact that the dimer remains stable under these conditions is entirely surprising, in particular after reaction with an alcohol and distillation under vacuum.

The subject of the invention is thus also a process for the preparation of a polyfunctional isocyanate composition comprising at least one isocyanate dimer containing a uretidinedione unit and at least one other compound having a function derived from the isocyanate function, starting with isocyanate monomers and another monomer compound comprising at least one function other than isocyanate, which is reactive with the isocyanate function, this process comprising the following steps:

i) the starting reaction medium is heated, in the absence of dimerization catalyst, optionally in the presence of a compound of general formula I, II and/or III, to a temperature of greater than at least 50°C, advantageously greater than at least 80°C, preferably greater than at least 120°C, and less than at least 200°C, advantageously less than at least 170°C, for a period of less than 24 hours, advantageously less than 5 hours;

ii) the reaction mixture from step i) containing unreacted isocyanate monomers and a compound comprising at least one function other than the isocyanate function, which is reactive with the isocyanate function, are reacted together, optionally in the presence of a catalyst;

iii) the isocyanate monomers and, where appropriate, the compound comprising at least one

function other than the isocyanate function, which is reactive with the isocyanate function, are removed from the reaction mixture from step ii);

- iv) the polyfunctional isocyanate composition comprising at least one isocyanate dimer containing a uretidinedione unit and at least one other function derived from the isocyanate function, is isolated.

The expression "derived isocyanate function" is understood to refer in particular to the following functions: carbamate, urea, biuret, urethane, uretidinedione, masked isocyanate and allophanate.

The compound containing a function derived from the isocyanate function can be, in particular, a compound of general formula II or III, as defined above, in which case the compound added to step i) is a compound of general formula I as defined above.

When a final prepolymer composition preferably of polyurethane type is desired, it is particularly advantageous, in order to lower the viscosity of the mixture, to add, at the dimerization stage, to the reaction medium containing the starting monomers, besides the optional compound of general formula I and/or compounds derived therefrom, a chain-extension compound bearing at least two functionalities, in particular a compound of monomeric, oligomeric and/or polymeric nature of diol, diamine or dicarboxylic type.

The subject of the invention is also a process for the preparation of an isocyanate composition comprising at least one isocyanate dimer containing a uretidinedione unit and at least one compound containing a function derived from the isocyanate function, starting with isocyanate monomers and another compound comprising at least one function other than isocyanate, which is reactive with the isocyanate function, this process comprising the following steps:

- i) the isocyanate monomers are reacted with a compound comprising at least one function other than an isocyanate function, which is reactive with the isocyanate function, optionally in the presence of a

catalyst;

ii) the reaction mixture from step i) containing unreacted isocyanate monomers is heated, in the absence of dimerization catalyst, to a temperature of greater than at least 50°C, advantageously at least 80°C, preferably at least 120°C and not more than 200°C, advantageously not more than 170°C, for a period of less than 24 hours, advantageously less than 5 hours;

iii) the monomers and, where appropriate, the compound comprising at least one function other than the isocyanate function, which is reactive with the isocyanate function, are removed from the reaction mixture from step ii);

iv) the isocyanate composition comprising at least one polyisocyanate dimer and at least one compound having a function derived from the isocyanate function is isolated.

The reactions in steps ii) and i), respectively, which have just been described above for the two variants of the process according to the invention advantageously consist of reactions of carbamatation, allophanatation, creation of a urea, biuret, urethane or masked isocyanate function or any other function derived from the isocyanate function, obtained by a reaction of the isocyanate function with a reactive function, advantageously of nucleophilic nature.

The compounds having a function derived from the isocyanate function are, in particular, of general formulae II and III described above.

The reactions mentioned above can also take place simultaneously depending on the nucleophilic reactive compound(s) and the reaction conditions used.

Among the preferred processes for the preparation of an isocyanate composition comprising, besides an isocyanate dimer containing a uretidiniedione unit, an isocyanate derivative compound, mention may be made in particular of the processes for the preparation of biurets by reaction, in step ii), or where appropriate in i), of isocyanate monomers with themselves in the

presence of water.

Compositions obtained by such a process containing at least one biuret compound and at least one uretidinedione compound are novel and constitute another
5 subject of the invention.

These compositions advantageously contain 3%, preferably at least 10%, even more preferably at least 20%, by weight of biuret.

The compositions according to the invention
10 contain very little or no solvent, not more than 40% by mass relative to the total mass of the composition, advantageously not more than 25%, preferably less than 10%, even more preferably less than 5%, or even less than 1% by mass. In any case, solvent-free compositions are
15 preferred.

In addition, in parallel with the reactions resulting in a compound containing at least one derived isocyanate function, there may also be a (cyclo)trimerization or (cyclo)condensation reaction of
20 the monomers present in the reaction mixture. In this case, the reaction is carried out under suitable conditions in the presence of a (cyclo)trimerization or (cyclo)condensation catalyst.

The compositions thus obtained after removal of
25 the isocyanate monomers and other volatile compound(s) comprising a function which is reactive with the isocyanate function also have a lowered viscosity on account of the presence of isocyanate dimer which acts as reactive diluent.

The process of the invention can be adapted to
30 various aliphatic, cycloaliphatic, araliphatic or aromatic polyisocyanates or to a mixture of these various starting isocyanates which may be mono- to poly-functional, but preferably difunctional (i.e. containing
35 two isocyanate functions).

If compositions of very low viscosity are sought, diisocyanates containing an aliphatic chain having polymethylene sequences with low branching, which are preferably non-cyclic, will be preferred. These monomers

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represent at least half, advantageously $2/3$ and preferably the total amount, by mass, of the monomers used.

5 The starting isocyanates can contain other preferred functions which have no reactive hydrogen, in particular carbamate, allophanate, urea, biuret, ester, amide, alkoxysilane, masked isocyanate, etc. functions.

10 In general, if the starting monomers have not been totally converted into dimer and, where appropriate, into another polyisocyanate, the excess of monomers is removed by a process known to those skilled in the art, such as devolatilization or distillation under vacuum, or according to a process of extraction with a gas in the critical or supercritical state, as described in
15 FR 2,604,433. The temperature at which the monomer is removed depends on the process used and on the vapour pressure temperature of the starting isocyanate.

20 The final composition will generally comprise less than 1%, advantageously less than 0.5%, by mass of monomers relative to the total mass of the composition.

25 Furthermore, when the dimer obtained according to the invention bears free isocyanate functions, it can then undergo the same conversions as the parent isocyanate monomer or as the other polyisocyanates bearing isocyanate functions. Thus, the isocyanate functions of the dimer obtained without a catalyst can be converted, as with the other isocyanate functions, into carbamate, allophanate, urea, biuret, etc. functions, depending on the reactions carried out on the isocyanate
30 mixture. The excess of parent isocyanate monomer is then removed according to a process mentioned above.

35 The subject of the invention is also the use of a compound of general formula I and/or of products derived from this derivative by reaction with a compound bearing an isocyanate function, in particular an aliphatic one, for the preparation of isocyanate dimers from isocyanate monomers, in particular aliphatic ones, with a degree of conversion of at least 2%, advantageously at least 3%, preferably at least 4% of the starting

isocyanate functions into uretidinedione functions.

The products in question derived from the compound of general formula I are, in particular, the compounds of general formula II and/or III as defined above.

Certain compounds obtained after carrying out the process of the invention are novel and also constitute a subject thereof.

They can be obtained as intermediates or can be found in the final reaction product.

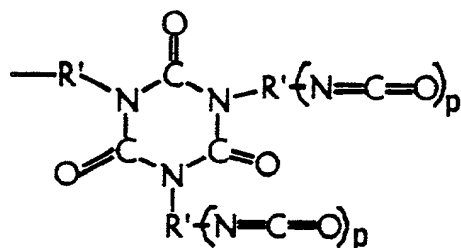
A first group of these compounds is the one of general formula III as defined above, in which at least one of the groups $NX'_1X''_1$, $NX'_2X''_2$ and $NX'_3X''_3$ represents the group of formula V as defined above, the others representing a group NX_1H with X_1 , $X'_1X''_1$, $X'_2X''_2$ and $X'_3X''_3$ defined as above and R^1 as defined above, i.e. representing a group R with the OH groups substituted, where appropriate, with a group $CO-NX_1H$ or a group of formula V, as defined above.

Advantageously, p is equal to 1 and the compound of general formula III bears one, two, three or four allophanate groups.

Advantageously, R is a $(CH_2)_n$ group with n ranging from 2 to 8, a norbornyl, cyclohexylmethyl or 3,3,5-trimethylcyclohexylmethyl group.

A second group of compounds according to the invention is the one of general formula III as defined above, in which

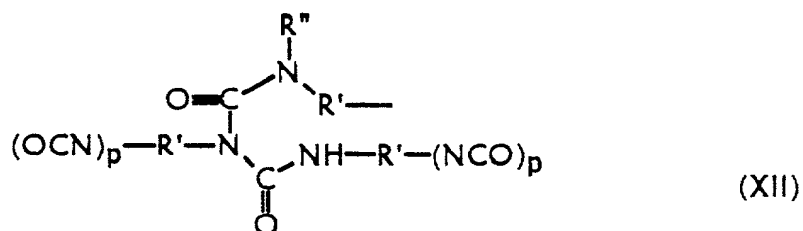
- the groups $NX'_1X''_1$, $NX'_2X''_2$ and $NX'_3X''_3$ are chosen from a group of general formula NX_1H , a group of general formula V as defined above, a uretidinedione group of formula IV, an isocyanurate group of formula XI



(XI)

R' and p being as defined above,

- a biuret group of formula XII



with R'' representing H or a hydrocarbon residue,

- R' and p being as defined above and R₂ represents the group R with the OH groups substituted, where appropriate, with a group chosen from CONHX₁H, a group of formula VI, a group of formula VI, a group of formula -CO-NH-(group of formula IV), -CO-NH-(group of formula XI) and -CO-NH-(group of formula XII), with the proviso that the compounds containing at least one carbamate group of formula NX₁H, or CONHX₁H respectively, and/or allophanate group of formula V, or -CO-NH-(group of formula V) respectively, and at least one group chosen from a uretidinedione group of general formula IV, or -CO-NH-(group of general formula IV), respectively, an isocyanurate group of general formula XI, or -CO-NH-(group of general formula XI) respectively, and a biuret group of general formula XII, or -CO-NH-(group of general formula XII) respectively.

Advantageously, p represents 1 (the starting monomers are diisocyanates).

The compounds in which R₁ represents the CH₂OH group are also preferred.

Among the preferred compounds according to the invention, pentaerythritol derivatives comprising one, two, three or four allophanate groups and three, two, one or no carbamate groups are preferred in particular.

Another advantageous group is the one consisting of pentaerythritol derivatives containing a uretidinedione group, the three other OH groups being substituted with carbamate and/or allophanate groups (in particular those containing two carbamate groups and one allophanate

group).

Mention may also be made of the corresponding compounds in which the uretidinedione group is replaced with an isocyanurate and/or biuret group.

5 The corresponding trimethylolpropane homologues also constitute a group of compounds which are advantageous for the purposes of the present invention.

10 The invention is not limited to liquid compounds of low viscosity, but can lead to compositions in powder form if the starting isocyanate monomers are astutely selected. Thus, if cycloaliphatic diisocyanates are taken, it is possible to obtain mixtures containing at least one compound containing a dimeric function and a compound derived from the conversion of the parent
15 isocyanate monomer in excess, which, after removal of the excess of monomer compounds according to an appropriately selected process described above, makes it possible to obtain compositions in powder form.

20 The isocyanate compositions of the invention are characterized in that they contain at least one compound derived from the conversion of a dimer obtained according to the process of the invention and at least one compound derived from the conversion of an isocyanate having no dimer functions, it being possible for these compounds
25 optionally to be polyisocyanates whose functions are blocked with protecting groups of different or identical nature, which can then undergo a conversion reaction according to a physical (thermal effect) or chemical or actinic (UV curing, electron beam curing, infrared)
30 process.

35 The subject of the invention is, in particular, a composition comprising at least one compound of general formula X as defined above and at least one compound of general formula II as defined above and/or at least one compound of general formula III as defined above.

 The composition according to the invention also advantageously comprises a compound of formula VIII as defined above.

 These products can be used to prepare powdered

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paints or powdered coating compositions or any other application using the said compositions of the invention derived from the process described.

5 The compositions obtained according to the process and derived from mixtures of aliphatic isocyanates and cycloaliphatic isocyanates which can lead to powders are also a subject of the invention.

10 Similarly, the polyurethane mixtures containing at least one compound bearing a dimeric function obtained according to the process described, optionally in powder form, form part of the invention.

15 The polyisocyanates obtained by the process of the invention are advantageously used as hardeners for the preparation of coatings, in particular polyurethane paints and varnishes, by reaction of the polyisocyanates with a polyol.

Any type of polyol is suitable for this.

20 However, it has been observed, surprisingly, that certain polyols afford appreciable advantages when they are reacted with polyisocyanates obtained in accordance with the present invention.

These polyols can be of acrylic or polyester nature.

25 When a polyol of acrylic nature is used, it is preferable for it to satisfy the following conditions for a dry extract (DE) of 75-80% by weight:

- Mw (weight-average molecular weight) not greater than 10,000, advantageously not greater than 5000, preferably not greater than 2000.

30 Mw is preferably less than 10000, advantageously less than 5000, preferably less than 2000.

- Mn (number-average molecular weight) of not greater than 5000, advantageously not greater than 3000, preferably not greater than 800.

35 Mn is preferably less than 5000, advantageously less than 3000, preferably less than 800.

- Mw/Mn (dispersity ratio) of not greater than 5, advantageously not greater than 3, preferably not greater than 2.

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Mw/Mn is preferably less than 5, advantageously less than 3, preferably less than 2.

- number of OHs/molecule of greater than or equal to 2, advantageously greater than 2.

5 For further details, reference may be made to ASTM standard E222.

The Mn and Mw values are advantageously obtained by gel permeation exclusion chromatography using styrene as standard.

10 Polyols obtained by polymerization of hydroxy-alkyl (meth)acrylates, in particular hydroxyethyl (meth)acrylates and hydroxypropyl (meth)acrylates, are preferred.

15 The resins sold under the names Joncryl SCX 922 (Johnson Polymers) and Synocure 866 SD (Cray Valley) are most particularly preferred.

20 When the polyols are of polyester nature, the preferred ones are those having 100% DE and a viscosity of not greater than 10,000 mPa.s, advantageously not greater than 5000 mPa.s, preferably not greater than 1000 mPa.s, the Mw of which is generally between 250 and 8000.

25 In order to obtain a satisfactory viscosity, it is also possible to add a reactive solvent (other than a true dimer) to the polyol before or after mixing with the polyisocyanate.

30 Advantageously, an amount of reactive diluent of not greater than 30%, advantageously not greater than 20%, preferably not greater than 10%, by mass relative to the dry mass of the polyol is used.

35 Considerable advantages in terms of properties of the final coating are already obtained for an amount of reactive solvent of less than 10% by weight. These properties, in particular the speed of drying, the hardness of the coating and the impact strength, are also excellent when the amount of reactive solvent is lowered, even the absence of reactive solvents.

Another subject of the invention consists of compositions for simultaneous or successive application,

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comprising:

- a polyisocyanate obtained by the process of the invention, and

- a polyol as defined above.

5 The compositions obtained by the process of the invention can be used in aqueous coating formulations, by being placed in suspension, in emulsion or in dispersion, or dissolved by grafting hydrophilic agents or by addition of surfactant compounds.

10 The compositions of the invention can undergo a temporary partial or total masking reaction of the isocyanate functions with one or a mixture of masking agents.

15 The isocyanate functions can then be restored by application of a physicochemical process such as increasing the temperature, or can be transformed or polymerized by application of a physicochemical process such as ultraviolet radiation.

20 The compositions according to the invention can also be in the form of suspensions.

They can also comprise the components described below:

25 - optionally, one or more inorganic or organic compound(s) having a pigmentation or filler function, a matt-effect agent or any other additive intended to facilitate the application of the coating or to improve the properties of the coating, such as, for example, a spreading agent, a "cicatrizing" agent, etc.

30 - optionally, one or more catalysts,
- optionally, one or more surface agents allowing an improvement in the implementation of the formulation, such as, for example, an agent with surfactant properties, an antifoaming agent, a solvent or an aqueous solution whose pH is optionally adjusted.

35 These compositions have applications in various fields, such as coatings, foams, spreads, adhesive construction materials, glues, in the coating industry, in cosmetics, in medical or agrochemical applications, so-called active principle formulations, etc.

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The examples below illustrate the invention.

Except where otherwise mentioned, the percentages are given by weight.

EXAMPLE 1:

5 **Formation kinetics for the hexamethylene diisocyanate (HDI) dimer by heating to 140°C**

300 g of HDI are introduced, with stirring, into a 500 ml reactor fitted with a condenser and heated by an oil bath.

10 The reaction medium is brought to 140°C and the HDI dimer formed after 1, 2, 3, 4, 5 and 6 hours is measured.

The results are given in the table below:

15

Species	1 hour (%)	2 hours (%)	3 hours (%)	4 hours (%)	5 hours (%)	6 hours (%)
HDI	97.8	96.7	95.6	94.7	94.1	93.4
Dimer	1.5	2.5	3.4	4.1	4.7	5.1
Biuret	0.5	0.6	0.7	0.9	0.9	1.1
Heavy	0.2	0.2	0.3	0.3	0.3	0.4

EXAMPLE 2:

20 **Formation kinetics for the hexamethylene diisocyanate (HDI) dimer by heating to 150°C**

350 g of HDI are introduced, with stirring, into a 0.5 l jacketed reactor heated using a Huber bath, with temperature regulation using an external probe. The
25 reaction mixture is heated to 150°C and samples are taken at 2 hours 30, 5 hours and 7 hours 30.

The dimer is assayed by IR (infrared). The results are as follows:

Table 1:

30

Reaction time	Amount of HDI dimer (in %)
Start	0.08
2 hours 30	4.6
5 hours	5.8
7 hours 30	6.2

EXAMPLE 3:

Formation kinetics for the HDI dimer at 160°C

The process is performed as in Example 1, except that 300 g of HDI are added and the reaction medium is brought to a temperature of 160°C. The HDI dimer is assayed at 30 minutes, 1 hour, 1 hour 30, 2 hours, 2 hours 30 and 3 hours.

The results are given in the table below:

Table 2:

Start	% of HDI dimer obtained	Residual HDI (%)	Biuret (%)	Heavy
30 minutes	2.4	97.3	0.3	-
1 hour	3.5	95.8	0.5	0.2
1 hour 30	4.2	95.0	0.6	0.2
2 hours	4.4	94.6	0.7	0.3
2 hours 30	4.6	94.3	0.8	0.3
3 hours	4.8	93.9	0.9	0.4

EXAMPLE 4:

Preparation of a hexamethylene diisocyanate trimer (HDT) composition comprising HDI dimer

1000 g of HDI are introduced, with stirring, into a 1 litre reactor fitted with a condensing column and heated using an oil bath.

The reaction medium is heated for 1 hour 30 at 160°C. 10 g (1% by weight) of HMDZ (hexamethyldisilazane) are then added. The reaction medium is heated for 30 minutes at 140°C and then cooled. When the temperature reaches 88°C, 5.5 g of n-butanol are added. After reaction for one hour, the product is purified by distillation under vacuum. The following results are obtained:

Table 3:

Species	Heating for 1 h 30 at 160°C	HMDZ trimeri- zation, blocking with butanol	Distil- lation (resi- dues)	Distil- lation (product reco- vered)
HDI	95.2%	76.0%	94.1%	0.5%
Butyl monocarbamate	-	0.9%	1.1%	0.8%
Dimer	4.3%	3.7%	1.0%	14.2%
Trimer	0.5% *	13.2%	2.7%	56.3%
Bis-trimer		4.6%	0.9%	20.6%
Heavy		1.6%	0.2%	7.6%

* For the starting sample, the 0.5% corresponds to biuret (mainly) and bis-dimer.

The heavy fractions are compatibilized as tris-trimer. The bis-trimer (main compound) peak comprises tetramers (trimer-dimer) and imino-trimer.

The resulting composition has a viscosity at 25°C of 509 cps (509 mPa.s).

EXAMPLE 5:

Synthesis of a polyisocyanate based on HDI isocyanurate trimer and HDI dimer

1000 g of HDI are fed into a reactor which is heated for 3 hours with stirring at 150°C in order to form the dimer, without a dimerization catalyst. The DC (degree of conversion) of HDI, measured by assaying the isocyanate functions, is 5.1%. The temperature of the reaction medium is reduced to 130°C and 10 g of hexamethyldisilazane are added to the reaction medium. The temperature of the reaction medium is then brought to 140°C. The trimerization reaction is carried out for 1 h 50. The overall degree of conversion of the HDI is 38%, measured by the method of assaying the isocyanate functions. The catalyst is destroyed by addition of n-butanol (4.6 g) at 80°C. After 20 minutes, the reaction mixture is purified by distillation under vacuum of the excess monomers, and gives 320 g of a polyisocyanate

mixture with a viscosity of 935 mPa.s at 25°C, an NCO titre (as moles of NCO per 100 g of compound) of 0.54 and a functionality of 3.3.

The composition is given in the table below.

5	Species	% by weight
	HDI	0.24
	N-butyl HDI monocarbamate	0.5
	True HDI dimer	11.3
	True HDI trimer	51.3
10	Bis-trimer	25.2
	Heavy	11.46

EXAMPLE 6:

Synthesis of a polyisocyanate based on HDI isocyanurate trimer and HDI dimer

15 2017 g of HDI are fed into a reactor which is heated for 3 hours with stirring at 150°C in order to form the dimer. The DC of HDI, measured by assaying the isocyanate functions, is 4.9%. The temperature of the reaction medium is reduced to 110°C and 24.2 g of hexa-

20 methylidisilazane are added to the reaction medium. The temperature of the reaction medium is then brought to 140°C. The trimerization reaction is carried out for 2 h

15. The overall degree of conversion of the HDI is 44.4%, measured by the method of assaying the isocyanate

25 functions. The catalyst is destroyed by addition of n-butanol (11.1 g) at 80°C. After 20 minutes, the reaction mixture is purified by distillation under vacuum of the excess monomers, and gives 765 g of a polyisocyanate mixture with a viscosity of 1848 mPa.s at 25°C, an NCO

30 titre (as moles of NCO per 100 g of compound) of 0.52 and a functionality of 3.55.

The composition is given in the table below.

Species	% by weight
HDI	0.2
N-butyl HDI monocarbamate	0.3
True HDI dimer	7.6
True HDI trimer	46.2
Bis-trimer	25.1
Heavy	20.2

5
10 The standard polyisocyanate (comparative Example 8) has a much higher viscosity (30% higher for Example 6 and 2.5 times as high for Example 5) than the polyisocyanate mixture obtained according to the invention, this being for a much lower degree of conversion of HDI.

15 The beneficial effect of carrying out the dimerization reaction without a dimerization catalyst before the trimerization reaction is thus shown.

20 This also shows that the trimerization catalyst is not deactivated by the presence of HDI dimer since the degree of conversion of the HDI is high, this being for the same amount of catalyst, or even a slightly lower amount.

EXAMPLE 7:

Synthesis of a polyisocyanate based on HDI isocyanurate trimer and HDI dimer

25 The process is performed as in Example 5, except that 1104 g of HDI are used. The trimerization is carried out with 43 g of HMDZ catalyst and is stopped by addition of 15.2 g of n-butanol when the degree of conversion of the HDI, measured by assaying the isocyanate functions, is 53%.

30 After distillation of the starting monomers, the polyisocyanate mixture has a viscosity of 4694 mPa.s at 25°C, an NCO titre (as moles of NCO per 100 g of compound) of 0.50 and a functionality of 3.7.

The standard mixture (comparative Example 9) has

a much higher viscosity (2.5 times as high) than the polyisocyanate mixture obtained according to the invention, this being for a much lower degree of conversion of HDI.

5 The beneficial effect of carrying out the dimerization reaction without a dimerization catalyst before the trimerization reaction is thus shown.

 This also shows that the trimerization catalyst is not deactivated by the presence of HDI dimer since the
10 degree of conversion of the HDI is high.

EXAMPLE 8:

Synthesis of a standard HDT polyisocyanate (comparative example)

 A standard trimerization reaction is carried out
15 on 5 kg of HDI using 1.2% (weight/weight) of hexamethyldisilazane as catalyst at 120°C for 2 h 30 without carrying out the dimerization reaction before or after the trimerization reaction. The degree of conversion (DC) of the HDI is about 30%. After blocking the catalyst with
20 n-butanol and elimination of the HDI by distillation under vacuum, a product is obtained which has a viscosity of 2400 mPa.s, an NCO titre (as moles of NCO per 100 g of compound) of 0.52 and a functionality of 3.4.

EXAMPLE 9:

25 **Synthesis of a high-viscosity HDT polyisocyanate (comparative example)**

 The process is performed as in Example 7, but 1400 g of HDI and 2.5% by weight of HMDZ catalyst are used. The reaction is carried out at 120°C for 2 h 15
30 without carrying out the dimerization reaction before or after the trimerization reaction. The cyclotrimerization is stopped when the degree of conversion of the HDI is 54%, by addition of 20 ml of n-butanol at 80°C. After removal of the HDI by distillation under vacuum, an HDT
35 product is obtained which has a viscosity of 12,700 mPa.s and an NCO titre (as moles of NCO per 100 g of compound) of 0.48.

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EXAMPLE 10:

Preparation of a hexamethylene diisocyanate trimer (HDT) composition comprising HDI dimer

In a plant identical to the one in Example 3, 900 g of HDI and 9 g of HMDZ (1% by weight) are added. The reaction medium is heated at 140°C for 30 minutes and then, without blocking the reaction, it is heated at 150°C for 2 hours 30 in order to prepare the dimer. At the end of the reaction, the monomers are evaporated off. 240 g of final product are recovered. The degree of conversion of the HDI is 17.9% after 30 minutes at 140°C and 33.6% after 2 hours 30 at 150°C.

The results of the analyses of the composition of the reaction medium are given in Table 4 below.

Table 4:

Species	After reaction for 30 minutes (trimerization)	After heating at 150°C for 2 hours 30 (dimerization before distillation)
HDI	82.1%	66.4%
Dimer	0.82%	3.0%
Trimer	12.7%	18.1%
Imino-trimer	0.73%	0.86%
Bis-trimer	2.8%	7.6%
Heavy	0.63%	3.7%

The final polyisocyanate composition has, after distillation of the monomers, a viscosity at 25°C of 1200 cps (1200 mPa.s).

EXAMPLE 11:

Comparative study of the formation kinetics for the NBDI dimer and the HDI dimer

In the same way as in Example 3, NBDI dimer was prepared by heating NBDI to 160°C.

The results are given in the table below:

Time in min	% NBDI dimer at 160°C	% HDI dimer at 160°C
0	0	0
30	2.6	2.4
60	3.75	3.5
90	4.17	4.2
120	4.25	4.4
150	4.45	4.6
180	4.35	4.8

The respective formation kinetics for the HDI dimer and the NBDI dimer are illustrated in the attached figure.

It is observed that NBDI is of comparable reactivity to HDI as regards the dimerization without catalyst.

EXAMPLE 12:

Synthesis of a mixture of HDI biuret (HDB) and HDI dimer

1000 g of HDI are heated for 3 hours at 150°C in order to carry out a dimerization reaction (5% DC of HDI). A biuret polyisocyanate is synthesized with the mixture obtained, as described in patent application FR 86/12524 (Rhône-Poulenc).

After reaction (DC of HDI of 45%), the HDI monomer and the other volatile compounds (solvents/acid catalyst) are removed under vacuum. The polyisocyanate mixture contains biuret and true dimer (15% by weight) and has a viscosity of 4500 mPa.s at 25°C and an NCO titre (as moles of NCO per 100 g of compound) of 0.53.

For comparative purposes, a standard HDB polyisocyanate (commercial product) obtained by the same process as the one described in the abovementioned patent, without dimerization reaction before or after the biuretization reaction, has a viscosity of 9000 mPa.s at 25°C and an NCO titre (as moles of NCO per 100 g of compound) of 0.52.

The standard HDB product thus has a much higher viscosity (twice as high) than the polyisocyanate mixture obtained by the sequence dimerization-biuretization process.

5 This also shows that the biuretization catalyst is not deactivated by the presence of HDI dimer.

EXAMPLE 13:

Preparation of a composition of isophorone diisocyanate (IPDI) dimer and pentaerythritol IPDI
10 carbamate

300 g of IPDI and 0.75 g of pentaerythritol are heated in a reactor at 150°C for 7 hours.

The reaction mixture obtained is analysed and the formation of 2.3% by weight of IPDI dimer and 2.5% by
15 weight of pentaerythrityl IPDI carbamate is found.

EXAMPLE 14:

Preparation of a composition of HDI dimer/
pentaerythrityl HDI carbamate prepolymer

4942.3 g of HDI and 100.1 g of pentaerythritol
20 are introduced successively, at room temperature and under an inert atmosphere of nitrogen, into a 6 l thermostatically-controlled reactor equipped with a semi-lunar stirrer, a condenser and a dropping funnel.

The reaction medium is stirred and heated
25 gradually to a temperature of 140°C. After 1 h 50, infrared analysis on a sample of the reaction mass indicates the presence of allophanate, carbamate and dimer peaks. After 2 h 35 at 140°C, the medium becomes translucent with the presence of insoluble material.
30 After reaction for 4 h 20, infrared analysis on a sample of reaction mass indicates the presence of allophanate and carbamate peaks and a strong peak due to the dimer. After reaction for 5 h 11 at about 140°C, the heating is stopped and the reaction medium is allowed to cool. The
35 insoluble material (recovered mass of 3.7 g) is filtered off and the solution thus filtered is distilled on a scraping film under a vacuum of 0.5 to 1 mbar, at a

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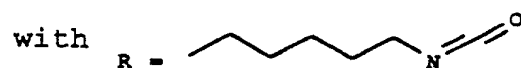
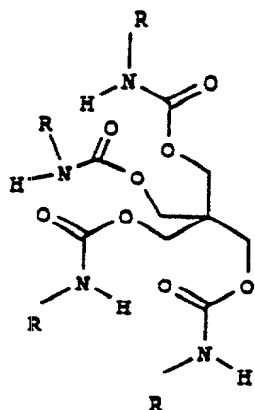
temperature of 160°C and with a feed flow rate of between 1200 and 2300 ml per hour. The product recovered (1340 ml) is distilled a second time under the same conditions, except that the feed flow rate is reduced (flow rate of between 500 and 1200 ml per hour). The mass of product recovered is 819 g. The titre of NCO functions is 0.499 mol per 100 g of mixture, the percentage of residual HDI monomer is about 2%. This mixture then undergoes a third distillation. 742.7 g of polyisocyanate composition is thus recovered, in which the titre of NCO functions is 0.482 mol per 100 g of the mixture, i.e. a mass percentage of 20.24%, the percentage of residual HDI monomer is 0.16% and the viscosity of the final crude mixture is 11,544 mPa.s at 25°C.

The composition of the mixture is determined after separative chromatography and structural analysis by infrared on the fractions eluted.

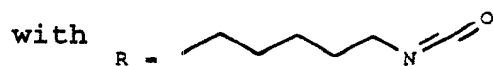
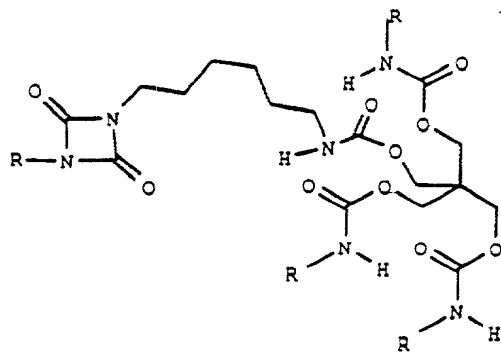
Product identified in the composition	Amount measured in %
Residual HDI	0.11
True dimer	20.2
True bis-dimer*	0.65
True HDI carbamate**	33.2
Pentamers***	18.3
Heavy****	27.54
Biuret	Less than 0.5
Detectable hydroxyl functions	0

* The true bis-dimer is calculated on the specific bis-dimer trace.

** The true HDI carbamate has a molecular mass of 808 and is represented by the following structure

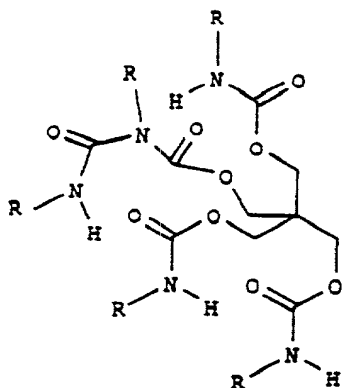


5 *** The band for pentamers corresponds to a mixture of pentaerythrityl HDI carbamate dimer (1), with a molecular mass of 976 and a functionality of 4, and of pentaerythrityl HDI allophanate (2) with a molecular mass of 976 and a functionality of 5.



molecule 1

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with $R = \text{---CH}_2\text{---CH}_2\text{---CH}_2\text{---CH}_2\text{---CH}_2\text{---CH}_2\text{---N}=\text{C}=\text{O}$

molecule 2

**** The heavy fractions have carbamate, allophanate and dimer peaks.

5 The final composition after distillation of the HDI has an average functionality of 4 for a concentration of 80% by mass of HDI and pentaerythritol derivatives and of 20% dimers, this being for a very low viscosity for this type of prepolymer.

10 EXAMPLE 15:

Preparation of a composition of HDI dimer/HDI and pentaerythritol prepolymer

The same procedure as in Example 14 is repeated.

15 The process is performed with 148.2 g of HDI and 3.042 g of pentaerythritol with a reaction medium temperature of 120°C.

20 Infrared analysis carried out after reaction for 1 h 50, indicates the presence of carbamate, allophanate and dimer functions. The peak for the dimer functions is larger than the peak corresponding to the carbamate/allophanate functions, which indicates a large amount of dimer.

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EXAMPLE 16:

Preparation of a composition of HDI dimer/HDI and pentaerythritol prepolymer

The same procedure as in Example 14 is repeated.

5 The process is performed with 148.5 g of HDI and 3.068 g of pentaerythritol with a reaction medium temperature of 160°C.

10 Infrared analysis carried out after reaction for 1 h 28, indicates the presence of carbamate, allophanate and dimer functions. This time, the peaks corresponding to the carbamate/allophanate functions are of stronger intensity than the peaks for the dimer functions, which indicates that less dimer is formed at high temperature.

EXAMPLE 17:

15 Preparation of a composition of HDI dimer/HDI and pentaerythritol prepolymer

The same procedure as in Example 14 is repeated.

20 This time, the process is performed with an HDI/OH ratio = 5. 148.6 g of HDI and 6.016 g of pentaerythritol are fed in, with a reaction medium temperature of between 137 and 143°C.

25 Infrared analysis performed after reaction for 2 h 35, indicates the presence of carbamate, allophanate and dimer functions. This time, the peaks corresponding to the carbamate/allophanate functions are of stronger intensity than the peaks for the dimer functions, which indicates that less dimer is formed.

EXAMPLE 18:

30 Preparation of a composition of HDI dimer/HDI and pentaerythritol prepolymer

The same procedure as in Example 14 is repeated.

149.53 g of HDI and 1.523 g of pentaerythritol are fed in. The reaction is carried out at a reaction medium temperature of about 152°C for 7 h 30.

35 Infrared analysis indicates the presence of peaks corresponding to the carbamate/allophanate functions, and dimers.

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EXAMPLE 19:

Preparation of a composition of HDI dimer/HDI and trimethylolpropane prepolymer

The same procedure as in Example 14 is repeated.

5 This time, the process is performed with trimethylolpropane. 151.01 g of HDI and 4.08 g of trimethylolpropane are fed in. It is necessary to work at a higher reaction temperature in order to see the formation of dimer in an appreciable amount. The reaction
10 medium temperature is between 140°C and 160°C.

Infrared analysis indicates the presence of peaks corresponding to the carbamate/allophanate functions, and dimers.

EXAMPLE 20: (comparative example)

15 2101.5 g of HDI and 299.15 g of 4,4'-isopropylidenedicyclohexanol are successively introduced into a 3 l reactor equipped as in Example 14. The reaction mixture is heated for 1 h at 80°C and for 45 minutes at about 102°C. The product obtained is "louvered" twice at a
20 temperature of 160°C and at 0.5 mbar. The product recovered has a titre of NCO functions of 0.288, i.e. 12.1%, and a titre of residual HDI monomer of 0.02%. The viscosity of the mixture could not be measured since the product is too viscous.

25 Infrared analysis indicates that the amount of dimeric functions in the product obtained is relatively low. At this temperature, it is convenient to carry out the reaction for longer periods in order to obtain substantial amounts of dimers in the absence of penta-
30 erythritol-type promoter.

This example shows that the polyurethanes containing isocyanate functions obtained according to a standard process give viscous polyisocyanate compositions.

35 **EXAMPLES OF FORMULATIONS**

EXAMPLE 21

A varnish formulation was prepared using the

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composition obtained in Example 14.

To do this, a mixture of two polyols sold by the company Jäger was used. The dry extract (DE) is 90% and the titre of hydroxyl functions (% OH) is 3.97 (by mass relative to the dry mass).

This polyol mixture was selected for its low structuring properties and its capacities to reduce the supply of solvent in the formulation, out of concern to lower the content of volatile organic compounds (VOCs).

Two formulations were prepared: one with 0.05% of catalyst (DBTL), and the other without catalyst.

Components	A	B
Jagotex F262/Jagapol PE350 (50/50 by mass)	44.06	43.99
Composition of Example 14	20.20	20.28
DBTL (10% in BuAc/EEP=3-ethyl ethoxy propionate 8:2)	0	0.30
Solvent (BuAc/Solvesso 100 6:4)	35.38	35.61

The viscosity adjustment was made using the Ford No. 4 fraction, such that there are about 25 seconds on the composition before application.

The applications were made using a film-drawer with a 200 μ m applicator bar (wet) on a glass plate.

Drying took place in the following way:

* Plates A: 30 min of drying at room temperature and then 3 hours at 100°C.

* Plates B: 30 min of drying at room temperature and then 1 hour at 100°C.

The films obtained have good tautness (slight orange-peel appearance) and have no other surface defect elsewhere.

The hardness of the film was measured 4 and 7 days after application according to NFT standard 30-016 (Persoz pendulum).

Plates	A	B
%DE	59.9	59.9
VOC (g/l)	387	387
Pot-life	30 h	1 h 20
Persoz hardness		
To + 4d (T = 21.5°C, Hr = 55%)	159	190
To + 7d (T = 21.2°C, Hr = 40%)	170	188

15
20

20

25

The VOC content of the composition was 418 g/l.

The Persoz hardness was measured after 1, 3 and 7 days of drying in an air-conditioned room at room temperature (RT) on films which had not been oven-treated beforehand and on films which had been kept at 60°C for 30 minutes.

MODIFIED CLAIMS (Article 34, PCT)

1. Process for the preparation of a low-viscosity (poly)isocyanate composition comprising at least one isocyanate dimer containing a uretidinedione unit, from starting isocyanate monomers in which the isocyanate groups are borne by sp^3 carbon atoms, characterized in that the starting reaction medium is heated, in the absence of dimerization catalyst, to a temperature of at least $50^\circ C$ and of not more than $200^\circ C$ for a period of not more than 24 hours.
2. Process according to Claim 1, characterized in that the starting reaction medium is heated to a temperature of at least $80^\circ C$, preferably of at least $120^\circ C$, and of not more than $170^\circ C$.
3. Process according to Claim 1 or 2, characterized in that the reaction medium is heated along a decreasing temperature gradient.
4. Process according to any one of the preceding claims, characterized in that the heating time is at least 5 minutes, preferably at least 30 minutes, and not more than 24 hours, preferably not more than 5 hours.
5. Process according to any one of the preceding claims, characterized in that at the end of the dimerization reaction, the starting monomer is removed, in particular by distillation.
6. Process according to any one of Claims 1 to 4 for the continuous preparation of a composition containing at least one isocyanate dimer containing a uretidinedione unit, characterized in that after the dimerization reaction, the unreacted monomers are removed and are recycled into the dimerization step.
7. Process for the preparation of a low-viscosity polyfunctional isocyanate composition containing at least one isocyanate trimer containing an isocyanurate and/or biuret unit and at least one isocyanate dimer containing a uretidinedione unit, from starting isocyanate monomers, in which the isocyanate groups are borne by sp^3 carbon atoms,

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and optionally from other monomers, this process comprising the following steps:

i) the starting reaction medium is heated, in the absence of dimerization catalyst, to a temperature of at least 80°C, preferably of at least 120°C, and of not more than 200°C, advantageously of not more than 170°C, for a period of less than 24 hours, advantageously of less than 5 hours;

ii) the reaction product from step i) containing unreacted monomers is reacted with a (cyclo)condensation catalyst, under (cyclo)trimerization conditions;

iii) the unreacted starting monomers are removed from the reaction product from step ii);

iv) the low-viscosity polyfunctional isocyanate composition comprising at least one isocyanate trimer and at least one isocyanate dimer is isolated.

8. Process for the preparation of a low-viscosity polyfunctional isocyanate composition containing at least one isocyanate trimer containing an isocyanurate and/or biuret unit and at least one isocyanate dimer containing a uretidinedione unit, from starting isocyanate monomers in which the isocyanate groups are borne by sp^3 carbon atoms, and optionally from other monomers, this process comprising the following steps:

i) the starting monomers are reacted with a (cyclo)trimerization or (cyclo) condensation catalyst under (cyclo)trimerization or (cyclo)condensation conditions;

ii) the reaction product from step i) containing unreacted isocyanate monomers is heated, in the absence of dimerization catalyst, to a temperature of at least 80°C, preferably of at least 120°C, and of not more than 200°C, advantageously of not more than 170°C, for a period of less than 24 hours, advantageously of less than 5 hours;

iii) the unreacted starting monomers are removed from the reaction product from step ii);

iv) the low-viscosity polyfunctional isocyanate composition comprising at least one isocyanate trimer and at least one isocyanate dimer is isolated.

9. Process for the preparation of a low-viscosity polyfunctional isocyanate composition comprising at least one isocyanate dimer containing a uretidinedione unit and at least one other compound having a function derived from the isocyanate function, starting with isocyanate monomers in which the isocyanate groups are borne by sp^3 carbon atoms and another compound comprising at least one function other than isocyanate, which is reactive with the isocyanate function, this process comprising the following steps:

i) the starting reaction medium is heated, in the absence of dimerization catalyst, to a temperature of greater than at least 80°C , advantageously at least 120°C , preferably at least 130°C , and less than at least 200°C , advantageously at least 170°C , for a period of less than 24 hours, advantageously less than 5 hours;

ii) the reaction product from step i) containing unreacted isocyanate monomers and a compound comprising at least one function other than the isocyanate function, which is reactive with the isocyanate function, are reacted together, optionally in the presence of a catalyst;

iii) the isocyanate monomers and, where appropriate, the compound comprising at least one function other than the isocyanate function, which is reactive with the isocyanate function, are removed from the reaction product from step ii);

iv) the polyfunctional isocyanate composition of low-viscosity comprising at least one isocyanate dimer containing a uretidinedione unit and at least one other function derived from the isocyanate function, is isolated.

10. Process for the preparation of a low-viscosity polyisocyanate composition comprising at least one isocyanate dimer containing a uretidinedione unit and at least one other compound containing a function derived from the isocyanate function, starting with isocyanate monomers in which the isocyanate groups are borne by sp^3 carbon atoms and with another compound comprising at least one function other than isocyanate, which is reactive with the isocyanate function, this process comprising the following

i) an isocyanate monomer is reacted with a compound comprising at least one function other than an isocyanate function, which is reactive with the isocyanate function, optionally in the presence of a catalyst;

iii) the monomers and, where appropriate, the compound comprising at least one function other than the isocyanate function, which is reactive with the isocyanate function, are removed from the reaction product from step ii);

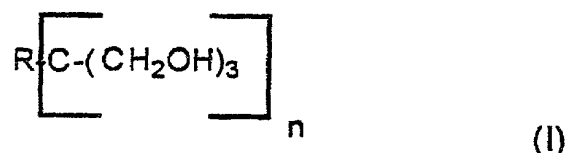
11.Process according to any one of Claims 7 to 10, characterized in that the isocyanate dimer is obtained by heating the reaction medium along a decreasing temperature gradient.

13.Process according to Claim 9 for the preparation of a
30 low-viscosity polyfunctional isocyanate composition
comprising at least one uretidinedione isocyanate dimer,
and at least one compound having a biuret function,
comprising the reaction, in step ii), of isocyanate
monomers with water.

35 14.Process according to Claim 10 for the preparation of a
low-viscosity polyfunctional isocyanate composition
comprising at least one uretidinedione isocyanate dimer,
and at least one compound having a biuret function,

comprising the reaction, in step i), of isocyanate monomers with water.

15. Process according to any one of the preceding claims, characterized in that, for the dimerization of the starting
5 monomers, a compound of general formula I:

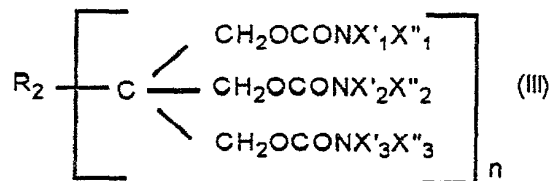
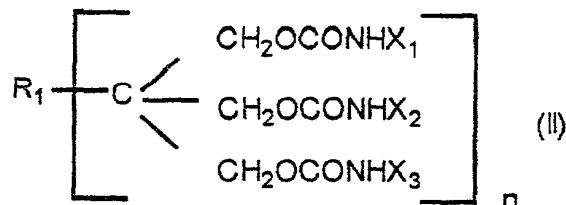


in which

R is a mono- or n-valent hydrocarbon-based group having from 1 to 30 carbon atoms, in which the hydrocarbon-based
10 chain can be interrupted by one or more chalcogen atoms and can bear 1 to 3 OH groups, and n is an integer ranging from 1 to 3,

and/or products derived from this derivative by a reaction with a compound bearing an aliphatic isocyanate function,
15 is added to the reaction medium containing the starting monomers.

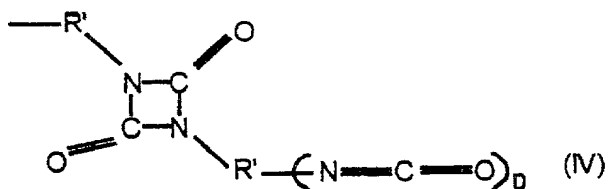
16. Process according to Claim 15, characterized in that the said products derived from the reaction of the compound of general formula I with a compound bearing an aliphatic
20 isocyanate function correspond to the general formulae II and/or III below:



25 in which

one or more of X_1 , X_2 and X_3 represents a group $\text{R}'-(\text{N}=\text{C}=\text{O})_p$

in which R' is a p-valent aliphatic group and p is an integer ranging from 0 to 5, the others representing, where appropriate, a group of formula

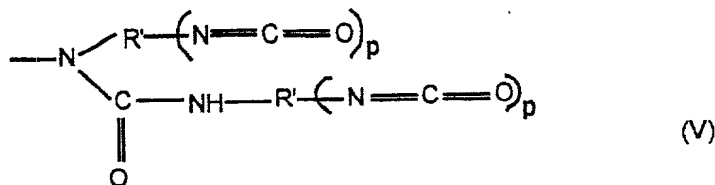


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R' and p being as defined above,

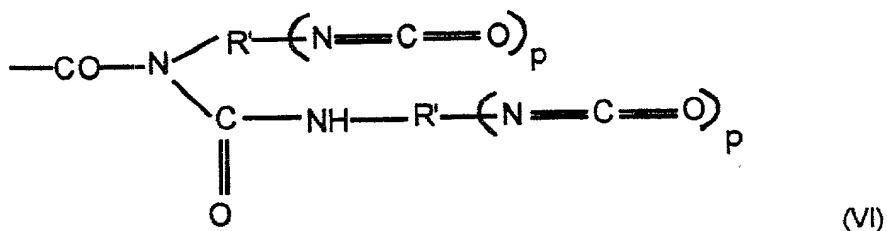
R₁ is R, with the OH groups substituted, where appropriate, with a group CONX₁H, X₁ being as defined above,

at least one of NX'₁X''₁, NX'₂X''₂ and NX'₃X''₃, represents the group



R' and p being as defined above, the others representing a group NX₁H or NX₁-silyl with X₁ as defined above and

R₂ being R, with the OH groups substituted, where appropriate, with a group CONX₁H, or



R' and p being as defined above, and

n is an integer ranging from 1 to 3.

17. Process according to Claim 15 or Claim 16, characterized in that R is a C₁-C₄ alkyl group substituted with 1 to 3 OH groups.

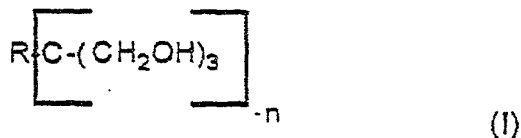
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18. Process according to any one of Claims 15 to 17, characterized in that the compound of general formula I is selected from pentaerythritol and trimethylolpropane, and the compounds of general formulae II and III are selected,

where appropriate, from the corresponding pentaerythritol and trimethylolpropane derivatives as defined in Claim 16.

19. Process according to any one of the preceding Claims, characterized in that the starting isocyanate monomers are
- 5 diisocyanates selected from hexamethylene diisocyanate, tetramethylene diisocyanate, norbornane dimethylene diisocyanate, isophorone diisocyanate, bis(isocyanato)cyclohexylmethane and 2-methylpenta-
- methylene diisocyanate.

20. Use of a compound of general formula I:



- 15 in which

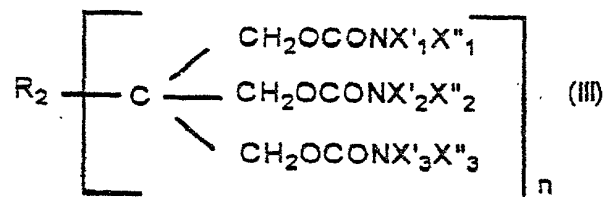
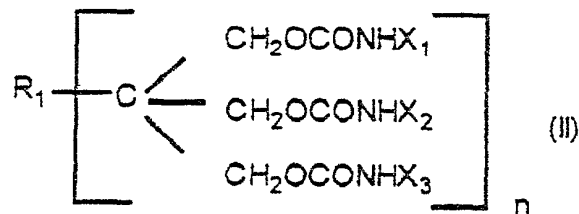
R is a mono- or n-valent hydrocarbon-based group having from 1 to 30 carbon atoms, in which the hydrocarbon-based chain can be interrupted by one or more chalcogen atoms and can bear 1 to 3 OH groups, and n is an integer

- 20 ranging from 1 to 3,

and/or products derived from this derivative by reaction with a compound bearing an aliphatic isocyanate function, for the preparation of isocyanate dimers from aliphatic isocyanate monomers, with a degree of conversion of at

25 least 5%, preferably at least 10%, of the starting isocyanate functions into uretidinedione functions.

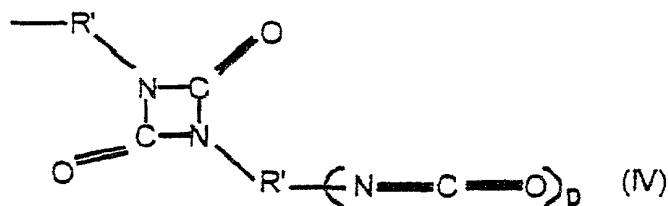
21. Use according to Claim 20, characterized in that the said products derived from the reaction of the compound of general formula I with a compound bearing an
- 30 aliphatic isocyanate function correspond to the general formulae II and/or III below:



in which

one or more of X_1 , X_2 and X_3 represents a group $R'-(N=C=O)_p$, in which R' is a p-valent aliphatic group and p is an integer ranging from 0 to 5,

5 the others representing, where appropriate, a group of formula

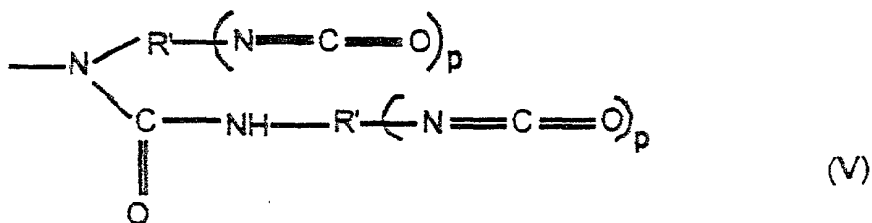


R' and p being as defined above,

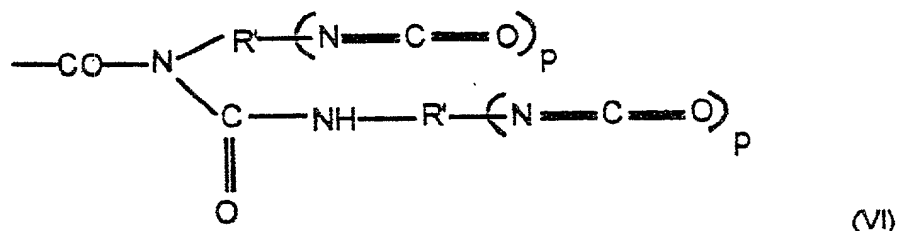
R_1 is R, with the OH groups substituted, where appropriate, with a group CONX_1H , X_1 being as defined above,

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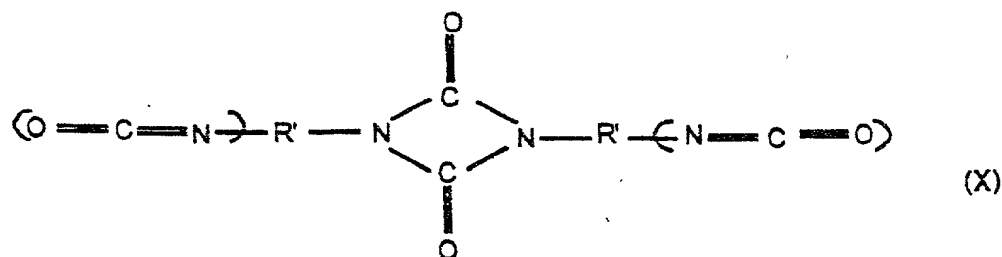
at least one of $\text{NX}'_1\text{X}''_1$, $\text{NX}'_2\text{X}''_2$ and $\text{NX}'_3\text{X}''_3$ represents the group



R' and p being as defined above, the others representing a group NX₁H or NX₁-silyl with X₁ as defined above and R₂ being R, with the OH groups substituted, where appropriate, with a group CONX₁H, or



- 5 R' and p being as defined above, and n is an integer ranging from 1 to 3, for the preparation of dimeric compounds of general formula X:



- 10 in which R' and p are as defined in Claim 20, starting with isocyanate monomers of general formula VII:



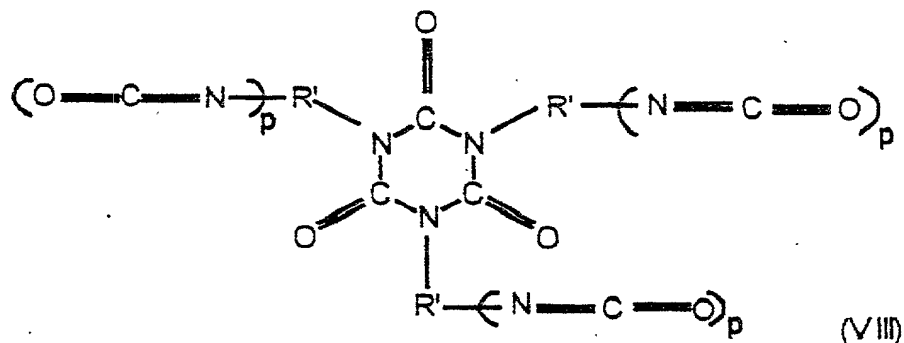
R' and p being as defined above, with a degree of conversion of advantageously 5%, preferably 10% at least of the isocyanate functions into compounds of formula X.

- 15 22. Use according to Claim 20 or Claim 21, characterized in that R is a C₁-C₄ alkyl group substituted with 1 to 3 OH groups.

23. Use according to Claim 21, characterized in that the compound of general formula I is selected from pentaerythritol and trimethylolpropane, and the compounds of
- 20

general formulae II and III are selected, where appropriate, from the corresponding pentaerythritol and trimethylolpropane derivatives as defined in Claim 21.

24. Use according to Claim 21, characterized in that the reaction for the preparation of the compounds of general formula X from the compounds of general formula VII is carried out in a reaction medium also comprising compounds of general formula VIII:

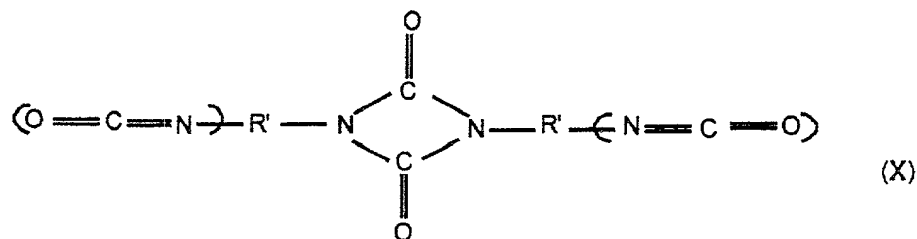


in which R' and p are as defined in Claim 21.

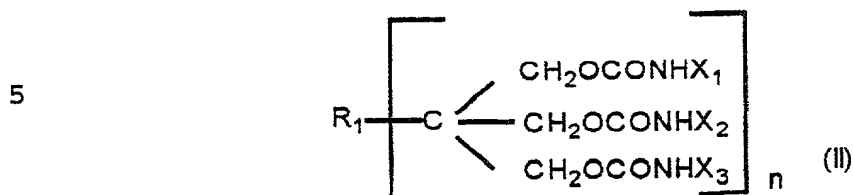
25. Use according to Claim 21, characterized in that the compound of general formula I, and/or III and/or III is bound to a support, in particular a resin.

26. Low-viscosity polyfunctional isocyanate composition comprising at least one uretidinedione isocyanate dimer and at least one compound having a biuret function, characterized in that the biuret unit containing compound represents at least 10%, advantageously at least 10 %, preferably at least 20%, by weight.

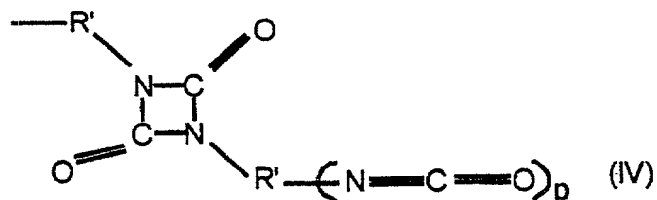
27. Composition comprising at least one compound of general formula X :



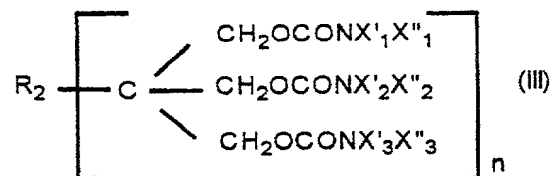
in which R' and p are as defined in Claim 21,
and at least one compound of general formula II:



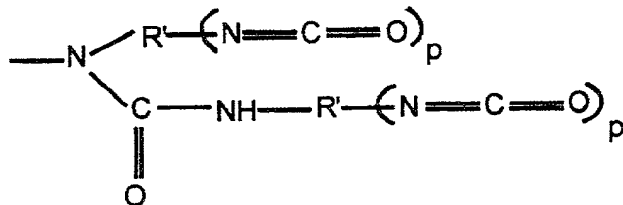
in which one or more of X₁, X₂ and X₃ represents a group
10 -R'-N=C=O as defined above and the others represent,
where appropriate, a group



R' and p being as defined above,
and R₁ is R, with the OH groups substituted, where
appropriate, with a group CONX₁H as defined above,
15 and n being an integer from 1 to 3;
and/or at least one compound of general formula III:

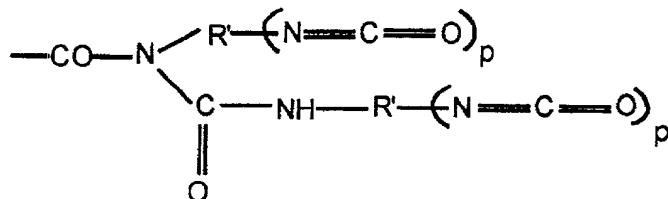


in which at least one of NX'₁X''₁, NX'₂X''₂ and NX'₃X''₃
represents the group



R' and p being as defined above, the others representing
20 a group NX₁H with X₁ as defined above, and

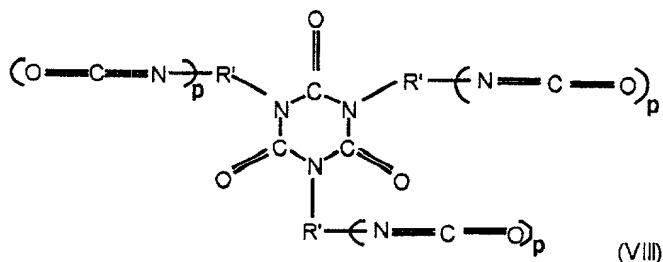
R₂ being R, with the OH groups substituted with a group CONX₁H or



as defined above,

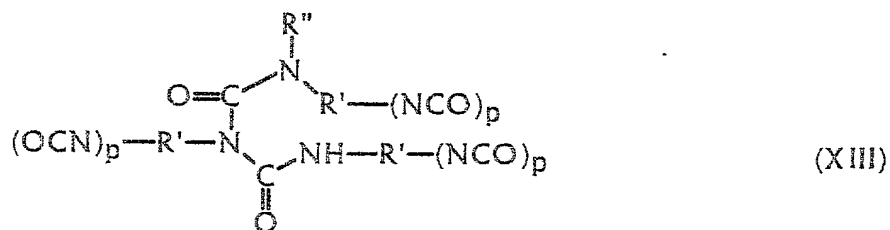
and n is an integer ranging from 1 to 3,

- 5 and/or a biuret compound obtained from an isocyanate of general formula VI as define in claim 21, the said composition also being characterized in that it is free of dimerization catalyst of phosphine, aminopyridine, phosphoramidate, organometallic or tertiary amine type.
- 10 28.Composition according to Claim 27, characterized in that it also comprises a compound of general formula VIII:



R' and p are as defined above,

- 15 and/or a compound of general formula XIII :



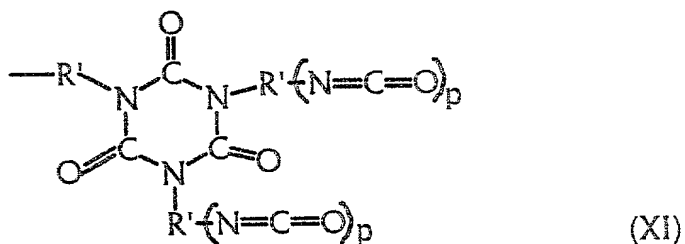
wherein R" represents H or a hydrocarbon group and R' and p are as defined above.

29. Composition comprising at least one compound of general formula X as defined in Claim 27 and/or optionally one compound of general formula VIII as defined in Claim 28 and/or at least one compound of general formula XIII as defined in claim 24, the said composition being free of dimerization catalysts.

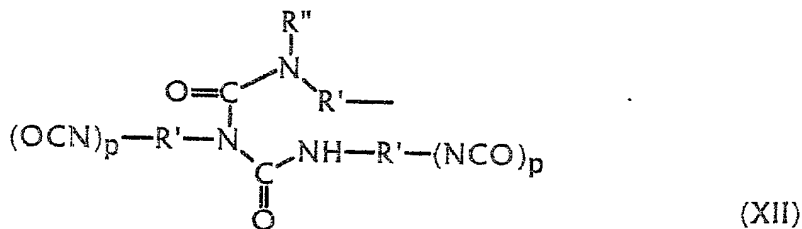
30. Compound of general formula III as defined in claim 16, in which at least one of the groups $NX'_1X''_1$, $NX'_2X''_2$ and $NX'_3X''_3$ represents the group of formula V as defined above, the others representing a group NX_1H with X_1 , $X'_1X''_1$, $X'_2X''_2$ and $X'_3X''_3$ as defined in claim 16 and R^1 as defined in Claim 16, i.e. representing a group R with the OH groups substituted, where appropriate, with a group CO- NX_1H or a group of formula V, as defined in Claim 16.

31. Compound of formula III in which :

- the groups $NX'_1X''_1$, $NX'_2X''_2$ and $NX'_3X''_3$ are selected from a group of general formula NX_1H , a group of general formula V as defined above, a uretidinedione group of formula IV, an isocyanurate group of formula XI :



R' and p being as defined in Claim 16, a biuret group of formula XII :



R' and p being as defined in Claim 16,

- R" represents H or a hydrocarbon-based group,
 R₂ represents the group R with the OH groups substituted,
 where appropriate, with a group selected from CONHX₁, a
 group of formula VI, a group of formula VI, a group of
 5 formula -CO-NH- (group of formula IV), -CO-NH- (group of
 formula XI) and -CO-NH- (group of formula XII), with the
 proviso that the compounds containing at least one
 carbamate group of formula NX₁H, or CONHX₁H respectively,
 and/or allophanate group of formula V, or -CO-NH- (group
 10 of formula V) respectively, and at least one group
 selected from a uretidinedione group of general formula
 IV, or -CO-NH- (group of general formula IV),
 respectively, an isocyanurate group of general formula
 XI, or -CO-NH- (group of general formula XI)
 15 respectively, and a biuret group of general formula XII,
 or -CO-NH- (group of general formula XII) respectively.
32. Compounds according to Claim 30 or Claim 31, in
 which p is equal to 1 and containing 1, 2, 3 or 4
 allophanate groups.
- 20 33. Compounds according to Claim 30 or Claim 31,
 characterized in that R' is a group selected from a
 group (CH₂)_n with n ranging from 2 to 8, optionally
 substituted with a hydrocarbon-based chain optionally
 bearing an isocyanate function, a norbornylmethylene
 25 group, a cyclohexylmethylene group or a 3,3,5-
 trimethylcyclohexyl methylene group.
34. Use of a composition according to Claim 27 or Claim
 28 for the preparation of a polyurethane coating.
35. Composition for simultaneous or successive
 30 application, comprising :
- at least one polyisocyanate composition according
 to one of Claims 26 to 29 ; and
 - a polyol.
36. Composition according to Claim 35, characterized in
 35 that the polyol is a polyol of acrylate type which
 satisfies the following conditions for a dry extract
 (DE) of 75-80 % by weight :
- Mw (weight-average molecular weight) not greater
 than 10,000, advantageously not greater than 5000,

preferably not greater than 2000 ;

- Mn (number-average molecular weight) of not greater than 5000, advantageously not greater than 3000, preferably no greater than 800 ;

5 - Mw/Mn (dispersity ratio) of not greater than 5, advantageously not greater than 3, preferably not greater than 2 ;

- number of OHs/molecule of greater than or equal to 2, advantageously greater than 2.

10 37. Composition according to Claim 35, characterized in that the polyol is a polyol of polyester type having 100 % dry extract and a viscosity of not greater than 10,000 mPa.s, advantageously not greater than 5000 mPa.s, preferably not greater than 1000 mPa.s, and an Mw of
15 between 250 and 8000.

38. Composition according to one of Claims 35 to 37, containing a crosslinking catalyst, which is optionally a latent catalyst.

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006090" EESS8460

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY
(Includes Reference to Provisional and PCT International Applications)

ATTORNEY'S DOCKET NUMBER

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:
"Method for the preparation of low-viscosity (poly)isocyanates".

the specification of which (check only one item below):

☐ is attached hereto.

☒ was filed as United States application
485,533

Number

on February 14, 2000

and was amended

on (if applicable).

☒ was filed as PCT international application

Number PCT/FR98/01800

on August 12, 1998

and was amended under PCT Article 19

on (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 (a)-(e) of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. §119:

COUNTRY (if PCT, indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. §119
FRANCE	97 10 296	12 August 1997	X __ Yes __ No
FRANCE	98 06 849	29 May 1998	X __ Yes __ No
			__ Yes __ No
			__ Yes __ No
			__ Yes __ No

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

(Application Number)

(Filing Date)

(Application Number)

(Filing Date)

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (CONTINUED)
(Includes Reference to Provisional and PCT International Applications)

ATTORNEY'S DOCKET NO.

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose to the Office all information known to me to be material to the patentability as defined in Title 37, Code of Federal Regulations §1.56, which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application:

PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120:

U.S. APPLICATIONS		STATUS (check one)		
U.S. APPLICATION NUMBER	U.S. FILING DATE	PATENTED	PENDING	ABANDONED
PCT APPLICATIONS DESIGNATING THE U.S.				
PCT APPLICATION NO.	PCT FILING DATE	U.S. APPLICATION NUMBERS ASSIGNED (if any)		

I hereby appoint the following attorneys and agent(s) to prosecute said application and to transact all business in the Patent and Trademark Office connected therewith and to file, prosecute and to transact all business in connection with international applications directed to said invention:

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (CONTINUED) (Includes Reference to Provisional and PCT International Applications)		ATTORNEY'S DOCKET NO.
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FULL NAME OF SIXTH JOINT INVENTOR, IF ANY	SIGNATURE	DATE
RESIDENCE	CITIZENSHIP	
POST OFFICE ADDRESS		
FULL NAME OF SEVENTH JOINT INVENTOR, IF ANY	SIGNATURE	DATE
RESIDENCE	CITIZENSHIP	
POST OFFICE ADDRESS		
FULL NAME OF EIGHTH JOINT INVENTOR, IF ANY	SIGNATURE	DATE
RESIDENCE	CITIZENSHIP	
POST OFFICE ADDRESS		
FULL NAME OF NINTH JOINT INVENTOR, IF ANY	SIGNATURE	DATE
RESIDENCE	CITIZENSHIP	
POST OFFICE ADDRESS		